

## CONTROLLED ALGEBRAIC $G$ -THEORY, I

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(communicated by Ross Staffeldt)

### *Abstract*

This paper extends the notion of geometric control in algebraic  $K$ -theory from additive categories with split exact sequences to other exact structures. In particular, we construct exact categories of modules over a Noetherian ring filtered by subsets of a metric space and sensitive to the large scale properties of the space. The algebraic  $K$ -theory of these categories is related to the bounded  $K$ -theory of geometric modules of Pedersen and Weibel the way  $G$ -theory is classically related to  $K$ -theory. We recover familiar results in the new setting, including the nonconnective bounded excision and equivariant properties. We apply the results to the  $G$ -theoretic Novikov conjecture which is shown to be stronger than the usual  $K$ -theoretic conjecture.

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### 1. Introduction

Since the invention of algebraic  $K$ -groups of a ring defined using the finitely generated projective  $R$ -modules, there existed a companion  $K$ -theory defined using arbitrary finitely generated  $R$ -modules, called  $G$ -theory. Its usefulness comes from the computational tool available in  $G$ -theory, the localization exact sequence, and

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The authors acknowledge support from the National Science Foundation.

Received May 06, 2009, revised March 25, 2011; published on May 01, 2011.

2000 Mathematics Subject Classification: 18E10, 18E30, 18E35, 18F25, 19D35, 19J99

Key words and phrases: Algebraic  $K$ -theory, assembly map, Novikov Conjecture, Borel Conjecture, controlled algebra, algebraic  $G$ -theory

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the close relation to  $K$ -theory via the Cartan map which becomes an isomorphism when  $R$  is a regular ring. The recent success of controlled  $K$ -theory in algebra and topology, where the ring involved is usually the regular ring of integers  $\mathbb{Z}$ , makes it natural to look for a similar controlled analogue of  $G$ -theory. This paper constructs and exploits such an analogue.

The bounded control is introduced by fixing a basis  $B$  in a free module  $M$  and defining a locally finite set function  $s: B \rightarrow X$  into a metric space  $X$ . The control comes from restrictions on the maps one allows between the based modules. Since each element  $x$  in  $M$  is written uniquely as a sum  $x = \sum_{b \in B} r_b b$ , there is the notion of support,  $\text{supp}(x)$ , which is the set of all points  $s(b)$  in  $X$  with  $b \in B$  such that  $r_b \neq 0$ .

For two sets of choices  $(M_i, B_i, s_i)$ ,  $i = 1, 2$ , an  $R$ -homomorphism  $\phi: M_1 \rightarrow M_2$  is *bounded* if there is a number  $D > 0$  such that for every  $b \in B_1$  the support  $\text{supp } s_2(\phi(b))$  is contained in the metric ball of radius  $D$  centered at  $s_1(b)$ .

The triples  $(M, B, s)$  and the bounded homomorphisms form the *bounded category*  $\mathcal{B}(X, R)$ . It is in fact an additive category since the direct products can be defined in the evident way. To each additive category  $\mathcal{A}$ , one associates a sequence of groups  $K_i(\mathcal{A})$ ,  $i \in \mathbb{Z}$ , or rather a nonconnective spectrum  $\text{Spt}(\mathcal{A})$  whose stable homotopy groups are  $K_i(\mathcal{A})$ , as in [18]. This construction applied to the bounded category  $\mathcal{B}(X, R)$  gives the *bounded algebraic  $K$ -theory*  $K_i(X, R)$ .

The general goal of this paper is to construct larger categories associated to a metric space  $X$  and a Noetherian ring  $R$  and to recover in this context the basic results from bounded  $K$ -theory. We are mostly concerned with controlled excision established in section 3. In many ways these categories are more flexible than the bounded categories and allow application of recent powerful results in algebraic  $K$ -theory. Their properties are essential for our study of the Borel isomorphism conjecture continued elsewhere but indicated in section 4. In the same section, we prove the integral Novikov conjecture in this context. It turns out that earlier results asserting the split injectivity of the assembly map follow from this result, due to the fact that the natural transformation from  $K$ -theory to  $G$ -theory is an equivalence for a regular Noetherian ring.

The following is a sketch of the constructions and results of the paper.

First notice that, given a triple  $(M, B, s)$  in  $\mathcal{B}(X, R)$ , to every subset  $S \subset X$  there is associated a free submodule  $M(S)$  generated by those  $b \in B$  with the property  $s(b) \in S$ . The restriction to bounded homomorphisms can be described entirely in terms of these submodules. We generalize this as follows. The objects of the new category  $\mathbf{U}^b(X, R)$  are left  $R$ -modules  $M$  filtered by the subsets of  $X$  in the sense that they are functors from the category of subsets of  $X$  and inclusions to the category of submodules of  $M$  and inclusions for which the value on the whole space  $X$  is the whole module  $M$  and the value on the empty set  $\emptyset$  is the zero submodule. By abuse of notation we usually denote the functor by the same letter  $M$ . We also make several additional assumptions spelled out in Definition 2.16, in particular, that the values on the bounded subsets are finitely generated submodules.

The morphisms in  $\mathbf{U}^b(X, R)$  are the  $R$ -homomorphisms  $\phi: M_1 \rightarrow M_2$  for which there exists a number  $D \geq 0$  such that the image  $\phi(M_1(S))$  is contained in the

submodule  $M_2(S[D])$  for all subsets  $S \subset X$ . Here  $S[D]$  stands for the metric  $D$ -enlargement of  $S$  in  $X$ . In this context we say a submodule  $N \subset M$  is *supported on a subset*  $S \subset X$  if  $N \subset M(S)$ .

The *boundedly controlled* category  $\mathbf{B}(X, R)$  is the full subcategory of  $\mathbf{U}^b(X, R)$  on filtered modules  $M$  generated by elements supported on subsets of diameter less than  $d$  for some number  $d > 0$  specific to  $M$ .

The additive structure on  $\mathbf{B}(X, R)$  gives it the *split exact structure* where the admissible monomorphisms are all split monics and admissible epimorphisms are all split epis. In order to describe a different Quillen exact structure on  $\mathbf{B}(X, R)$ , we define an additional property a boundedly controlled homomorphism  $\phi: M_1 \rightarrow M_2$  in  $\mathbf{U}^b(X, R)$  may or may not have:  $\phi$  is *boundedly bicontrolled* if there exists a number  $D \geq 0$  such that

$$\phi(M_1(S)) \subset M_2(S[D])$$

and

$$\phi(M_1) \cap M_2(S) \subset \phi M_1(S[D])$$

for all subsets  $S$  of  $X$ . We define the admissible monomorphisms in the new Quillen exact structure to be the boundedly bicontrolled injections of modules, both for the case of  $\mathbf{B}(X, R)$  and  $\mathbf{U}^b(X, R)$ . We define the admissible epimorphisms in  $\mathbf{U}^b(X, R)$  to be the boundedly bicontrolled surjections. The admissible epimorphisms in  $\mathbf{B}(X, R)$  are the boundedly bicontrolled surjections with kernels in  $\mathbf{B}(X, R)$ . In both cases the exact sequences are simply the short exact sequences when viewed as sequences in  $\mathbf{U}^b(X, R)$  so that all kernels and cokernels are well-defined filtered submodules in the respective category. Notice that split injections and surjections are boundedly bicontrolled, so the split exact structure is an exact subcategory of the new one.

Recall that a map  $f: X \rightarrow Y$  of metric spaces is *bi-Lipschitz* if there is a number  $k \geq 1$  such that

$$k^{-1} \text{dist}(x_1, x_2) \leq \text{dist}(f(x_1), f(x_2)) \leq k \text{dist}(x_1, x_2)$$

for all  $x_1, x_2 \in X$ . More generally,  $f$  is *quasi-bi-Lipschitz* if there is a real positive function  $l$  such that

$$\begin{aligned} \text{dist}(x_1, x_2) \leq r &\implies \text{dist}(f(x_1), f(x_2)) \leq l(r), \\ \text{dist}(f(x_1), f(x_2)) \leq r &\implies \text{dist}(x_1, x_2) \leq l(r). \end{aligned}$$

For example, a bounded function  $f: X \rightarrow X$ , with the property  $\text{dist}(x, f(x)) \leq D$  for all  $x \in X$  and a fixed number  $D \geq 0$ , is quasi-bi-Lipschitz with  $l(r) = r + 2D$ . An isometry  $g: X \rightarrow Y$  is quasi-bi-Lipschitz with  $l(r) = r$ .

Both constructions,  $\mathbf{U}^b(X, R)$  and  $\mathbf{B}(X, R)$ , are functorial in the metric space variable  $X$  with respect to quasi-bi-Lipschitz maps, as should be expected from [18]. Recall that an exact functor between Quillen exact categories is an additive functor which sends exact sequences to exact sequences. So to each quasi-bi-Lipschitz map  $f: X \rightarrow Y$  one associates an exact functor  $f_*: \mathbf{B}(X, R) \rightarrow \mathbf{B}(Y, R)$ . For example, if  $Z$  is a metric subspace of  $X$  then the isometric inclusion  $i: Z \rightarrow X$  induces an exact functor  $i_*: \mathbf{B}(Z, R) \rightarrow \mathbf{B}(X, R)$ .

To an exact category  $\mathbf{E}$ , one associates a sequence of groups  $K_i(\mathbf{E})$ ,  $i \geq 0$ , as in Quillen [20] or a connective spectrum  $K(\mathbf{E})$  whose stable homotopy groups are  $K_i(\mathbf{E})$ . If the exact structure is split, these groups are the same as the  $K$ -groups of  $\mathbf{E}$  as an additive category. When this construction is applied to the exact category  $\mathbf{B}(X, R)$ , we call the result the *connective controlled  $G$ -theory* of  $X$  and denote the spectrum by  $G(X, R)$ .

A proper metric space is a metric space where all closed metric balls in are compact. Let  $X$  be a proper metric space. Suppose  $Z$  is a metric subspace of  $X$ . There is a construction of an exact category  $\mathbf{B}/\mathbf{Z}$  associated to  $Z$  and an exact functor  $\mathbf{B}(X, R) \rightarrow \mathbf{B}/\mathbf{Z}$  such that the following is true.

**Theorem** (Localization, Corollary 4.16). *The sequence*

$$G(Z, R) \longrightarrow G(X, R) \longrightarrow K(\mathbf{B}/\mathbf{Z})$$

*is a homotopy fibration.*

The Localization Theorem can be used to construct nonconnective deloopings of  $G(X, R)$ . We will indicate the corresponding nonconnective spectra with superscripts “ $-\infty$ ”. The construction is similar to the  $K$ -theory delooping using bounded  $K$ -theory due to Pedersen–Weibel [17]. Therefore, there is a natural transformation  $K^{-\infty}(X, R) \rightarrow G^{-\infty}(X, R)$ .

The following is the analogue of a major tool in many proofs of the Novikov conjecture. If a proper metric space  $X$  is the union of proper metric subspaces  $X_1$  and  $X_2$ , let  $\mathbf{B}(X_1, X_2; R)$  stand for the full subcategory of  $\mathbf{B}(X, R)$  on the modules supported on the intersection of bounded enlargements of  $X_1$  and  $X_2$  and let  $G(X_1, X_2; R)$  denote its  $K$ -theory.

**Theorem** (Nonconnective controlled excision, Theorem 4.25). *There is a homotopy pushout*

$$\begin{array}{ccc} G^{-\infty}(X_1, X_2; R) & \longrightarrow & G^{-\infty}(X_1, R) \\ \downarrow & & \downarrow \\ G^{-\infty}(X_2, R) & \longrightarrow & G^{-\infty}(X, R) \end{array}$$

Finally, we describe the application to splitting integral  $G$ -theoretic assembly maps. There is a close relation to the same problem in  $K$ -theory.

Earlier applications of bounded  $K$ -theory to conjectures of Novikov type use in a critical way the existence of equivariant versions of the bounded  $K$ -theory functors attached to actions of discrete groups of isometries. The applications we envision of the present theory will also require such a theory, and we develop it in the last section of the paper. It turns out that we will need to develop the equivariant theory for a more general class of actions than isometric actions, namely the class of actions by discrete groups on metric spaces by quasi-bi-Lipschitz equivalences. In carrying this out, we find that we obtain a novel exact structure  $\mathbf{B}(R[\Gamma])$  on the a category of (not necessarily projective) finitely generated modules over a group ring  $R[\Gamma]$ , where  $R$  is a Noetherian ring and  $\Gamma$  is a discrete group.

Recall that the integral assembly map in algebraic  $K$ -theory

$$A_K: B\Gamma_+ \wedge K^{-\infty}(R) \longrightarrow K^{-\infty}(R[\Gamma])$$

is defined for any group  $\Gamma$  and any ring  $R$  and relates the homology of  $\Gamma$  with coefficients in the  $K$ -theory of  $R$  to the  $K$ -theory of the group ring. The *integral Novikov conjecture* for  $\Gamma$  is the statement that  $A_K$  is a split injection of spectra. It is speculated to be true whenever  $\Gamma$  is a discrete torsion-free group.

For a Noetherian ring  $R$  and the spectrum  $G^{-\infty}(R[\Gamma])$  defined as  $K^{-\infty} \mathbf{B}(R[\Gamma])$  there is a similar map

$$A_G: B\Gamma_+ \wedge G^{-\infty}(R) \longrightarrow G^{-\infty}(R[\Gamma])$$

which we call the *integral assembly map* in algebraic  $G$ -theory. In this paper we show that it is a split injection for many geometric groups.

**Theorem.** *Let  $\Gamma$  be a discrete group of finite asymptotic dimension and a finite classifying space. Let  $R$  be a Noetherian ring. Then the assembly map  $A_G$  is a split injection.*

It turns out that earlier results asserting the split injectivity of the assembly map follow from this result, due to the fact that the natural transformation from  $K$ -theory to  $G$ -theory for rings is an equivalence when the ring is regular Noetherian, for example the integers  $\mathbb{Z}$ .

Indeed, notice that from the commutative square

$$\begin{array}{ccc} B\Gamma_+ \wedge K^{-\infty}(R) & \xrightarrow{A_K} & K^{-\infty}(R[\Gamma]) \\ \simeq \downarrow & & \downarrow C \\ B\Gamma_+ \wedge G^{-\infty}(R) & \xrightarrow{A_G} & G^{-\infty}(R[\Gamma]) \end{array}$$

the assembly map  $A_G$  is, up to homotopy, the composition of  $A_K$  followed by the Cartan map

$$C: K^{-\infty}(R[\Gamma]) \longrightarrow G^{-\infty}(R[\Gamma]).$$

If  $A_G$  is a split injection, it follows that  $A_K$  is a split injection.

We are grateful to Marco Schlichting for showing us the preliminary version of his thesis [21] and several very fruitful discussions. We are grateful for the critique and suggestions of the referee and the editor which have improved the paper.

The authors acknowledge support from the National Science Foundation.

## 2. Controlled categories of filtered objects

This work is motivated by the delooping of algebraic  $K$ -theory of a small additive category in [17] and, in particular, the introduction of bounded control in a cocomplete additive category  $\mathbf{A}$  which we briefly recall.

A category is *cocomplete* if it contains colimits of arbitrary small diagrams, cf. Mac Lane [16], chapter V.

**Definition 2.1** (Pedersen–Weibel). Let  $X$  be a proper metric space, in the sense that all closed metric balls in  $X$  are compact. An  $X$ -graded object is a function  $F$  from the set  $X$  to the set of objects of  $\mathbf{A}$  such that the set  $\{x \in S \mid F(x) \neq 0\}$  is finite for every bounded  $S \subset X$ . We will also refer to the object

$$F = \bigoplus_{x \in X} F(x)$$

in  $\mathbf{A}$  as an  $X$ -graded object.

The  $X$ -graded objects form a new category  $\mathcal{B}(X, \mathbf{A})$ . The morphisms are collections of  $\mathbf{A}$ -morphisms  $f(x, y): F(x) \rightarrow G(y)$  with the property that there is a number  $D > 0$  such that  $f(x, y) = 0$  if  $\text{dist}(x, y) > D$ .

If  $\mathbf{B}$  is a subcategory of  $\mathbf{A}$  closed under the direct sum, one obtains the additive bounded category  $\mathcal{B}(X, \mathbf{B})$  as the full subcategory of  $\mathcal{B}(X, \mathbf{A})$  on objects  $F$  with  $F(x) \in \mathbf{B}$  for all  $x \in X$ . Notice that  $\mathbf{B}$  does not need to be cocomplete.

The *bounded algebraic K-theory*  $K(X, \mathbf{B})$  is the  $K$ -theory spectrum associated to the additive category  $\mathcal{B}(X, \mathbf{B})$ .

To generalize this construction from additive to more general exact categories  $\mathbf{E}$ , first notice the following. Given an object  $F$  in  $\mathcal{B}(X, \mathbf{B})$ , to every subset  $S \subset X$  there is associated a direct sum

$$F(S) = \bigoplus_{x \in S} F(x).$$

Since the condition  $f(x, y) = 0$  if  $\text{dist}(x, y) > D$  is equivalent to the condition that  $f(F(x)) \subset F(x[D])$  or, more generally,

$$f(F(S)) \subset F(S[D]),$$

the restriction from arbitrary to bounded morphisms can be described entirely in terms of the subobjects  $F(S)$ .

We start by recalling definitions and several standard facts about exact and abelian categories.

**Definition 2.2** (Quillen exact categories). Let  $\mathbf{C}$  be an additive category. Suppose  $\mathbf{C}$  has two classes of morphisms  $\mathbf{m}(\mathbf{C})$ , called *admissible monomorphisms*, and  $\mathbf{e}(\mathbf{C})$ , called *admissible epimorphisms*, and a class  $\mathcal{E}$  of *exact* sequences, or extensions, of the form

$$C^* : C' \xrightarrow{i} C \xrightarrow{j} C''$$

with  $i \in \mathbf{m}(\mathbf{C})$  and  $j \in \mathbf{e}(\mathbf{C})$  which satisfy the three axioms:

- a) any sequence in  $\mathbf{C}$  isomorphic to a sequence in  $\mathcal{E}$  is in  $\mathcal{E}$ ; the canonical sequence

$$C' \xrightarrow{\text{incl}_1} C' \oplus C'' \xrightarrow{\text{proj}_2} C''$$

is in  $\mathcal{E}$ ; for any sequence  $C^*$ ,  $i$  is a kernel of  $j$  and  $j$  is a cokernel of  $i$  in  $\mathbf{C}$ ,

- b) both classes  $\mathbf{m}(\mathbf{C})$  and  $\mathbf{e}(\mathbf{C})$  are subcategories of  $\mathbf{C}$ ;  $\mathbf{e}(\mathbf{C})$  is closed under base-changes along arbitrary morphisms in  $\mathbf{C}$  in the sense that for every exact sequence  $C' \rightarrow C \rightarrow C''$  and any morphism  $f: D'' \rightarrow C''$  in  $\mathbf{C}$ , there is a

pullback commutative diagram

$$\begin{array}{ccccc}
 C' & \longrightarrow & D & \xrightarrow{j'} & D'' \\
 = \downarrow & & \downarrow f' & & \downarrow f \\
 C' & \longrightarrow & C & \xrightarrow{j} & C''
 \end{array}$$

where  $j': D \rightarrow D''$  is an admissible epimorphism;  $\mathbf{m}(\mathbf{C})$  is closed under cobase-changes along arbitrary morphisms in  $\mathbf{C}$  in the (dual) sense that for every exact sequence  $C' \rightarrow C \rightarrow C''$  and any morphism  $g: C' \rightarrow D'$  in  $\mathbf{C}$ , there is a pushout diagram

$$\begin{array}{ccccc}
 C' & \xrightarrow{i} & C & \longrightarrow & C'' \\
 g \downarrow & & g' \downarrow & & \downarrow = \\
 D' & \xrightarrow{i'} & D & \longrightarrow & C''
 \end{array}$$

where  $i': D' \rightarrow D$  is an admissible monomorphism,

- c) if  $f: C \rightarrow C''$  is a morphism with a kernel in  $\mathbf{C}$ , and there is a morphism  $D \rightarrow C$  so that the composition  $D \rightarrow C \rightarrow C''$  is an admissible epimorphism, then  $f$  is an admissible epimorphism; dually for admissible monomorphisms.

According to Keller [13], axiom (c) follows from the other two. We will use the standard notation  $\rightarrow$  for admissible monomorphisms and  $\twoheadrightarrow$  for admissible epimorphisms.

A *preabelian category* is an additive category in which every morphism has a kernel and a cokernel [10]. Every morphism  $f: F \rightarrow G$  in a preabelian category has a canonical decomposition

$$f: X \xrightarrow{\text{coim}(f)} \text{coim}(f) \xrightarrow{\bar{f}} \text{im}(f) \xrightarrow{\text{im}(f)} Y$$

where  $\text{coim}(f) = \text{coker}(\ker f)$  is the coimage of  $f$  and  $\text{im}(f) = \ker(\text{coker } f)$  is the image of  $f$ . Recall that an *abelian category* is a preabelian category such that every morphism  $f$  is *balanced*, that is, the canonical map  $\bar{f}: \text{coim}(f) \rightarrow \text{im}(f)$  is an isomorphism. An abelian category has the canonical exact structure where all kernels and cokernels are respectively admissible monomorphisms and admissible epimorphisms.

A *subobject* of a fixed object  $F$  is a monic  $m: F' \rightarrow F$ . The collection of all subobjects of  $F$  forms a category where morphisms are morphisms  $j: F' \rightarrow F''$  between two subobjects of  $F$  such that  $m''j = m'$ . Notice that such  $j$  are also monic. If the category is an exact category, there is the subcategory of *admissible subobjects* of  $F$  represented by admissible monomorphisms. If both  $m'$  and  $m''$  are admissible, it follows from exactness axiom 3 that  $j$  is also an admissible monomorphism.

Given two subobjects  $m': F' \rightarrow F, m'': F'' \rightarrow F$ , the *intersection*  $F' \cap F''$ , which is the pullback of  $m'$  along  $m''$ , is a subobject of  $F$  and can be written as the kernel of a morphism. If  $F'$  and  $F''$  are admissible subobjects then the intersection  $F' \cap F''$  is an admissible subobject.

Now let  $\mathbf{A}$  be a cocomplete abelian category. The power set  $\mathcal{P}(X)$  of a proper metric space  $X$  is partially ordered by inclusion which makes it into the category with subsets of  $X$  as objects and unique morphisms  $(S, T)$  when  $S \subset T$ . A *presheaf* of  $\mathbf{A}$ -objects on  $X$  is a functor  $F: \mathcal{P}(X) \rightarrow \mathbf{A}$ . This corresponds to the usual notion of presheaf of  $\mathbf{A}$ -objects on the discrete topological space  $X^\delta$  if the chosen Grothendieck topology on  $\mathcal{P}(X)$  is the partial order given by inclusion, cf. section II.1 of [12]. We will use terms which are standard in sheaf theory such as *structure maps*, when referring to the morphisms  $F(S, T)$ .

**Definition 2.3.** A presheaf of objects in  $\mathbf{A}$  on  $X$  is an *X-filtered object in  $\mathbf{A}$*  if all the structure maps of  $F$  are monomorphisms. We will often suppress the reference to  $\mathbf{A}$  when the meaning is clear from the context.

For each presheaf  $F$  there is an associated *X-filtered object* given by

$$F_X(S) = \text{im } F(S, X).$$

Suppose  $F$  is an *X-filtered object*. Given a subobject  $F' \subset F(X)$  in  $\mathbf{A}$ , define the *standard filtration* of  $F'$  induced from  $F$  by the formula

$$F'(S) = F(S) \cap F'.$$

In other words,  $F'(S)$  is the image of the pullback

$$\begin{array}{ccc} P & \longrightarrow & F(S) \\ \downarrow & & \downarrow \\ F' & \longrightarrow & F(X) \end{array}$$

**Definition 2.4.** The *uncontrolled* category  $\mathbf{U}(X, \mathbf{A})$  is the category of *X-filtered objects in  $\mathbf{A}$* . The morphisms  $F \rightarrow G$  in  $\mathbf{U}(X, \mathbf{A})$  are the morphisms  $F(X) \rightarrow G(X)$  in  $\mathbf{A}$ .

Let  $S[D]$  denote the subset  $\{x \in X \mid \text{dist}(x, S) \leq D\}$ . A morphism  $f: F \rightarrow G$  in  $\mathbf{U}(X, \mathbf{A})$  is *boundedly controlled* if there is a number  $D \geq 0$  such that the image of  $f$  restricted to  $F(S)$  is a subobject of  $G(S[D])$  for every subset  $S \subset X$ .

The category  $\mathbf{U}^b(X, \mathbf{A})$  is the full subcategory of  $\mathbf{U}(X, \mathbf{A})$  on the objects with the property  $F(\emptyset) = 0$  and the boundedly controlled morphisms.

If  $f$  in addition has the property that for all subsets  $S \subset X$  the pullback  $\text{im}(f) \cap G(S)$  is a subobject of  $fF(S[D])$ , then  $f$  is called *boundedly bicontrolled*. In this case we say that  $f$  has filtration degree  $D$  and write  $\text{fil}(f) \leq D$ .

**Lemma 2.5.** Let  $f_1: F \rightarrow G$ ,  $f_2: G \rightarrow H$  be in  $\mathbf{U}^b(X, \mathbf{A})$  and  $f_3 = f_2 f_1$ .

1. If  $f_1, f_2$  are boundedly bicontrolled morphisms and either  $f_1: F(X) \rightarrow G(X)$  is an epi or  $f_2: G(X) \rightarrow H(X)$  is a monic, then  $f_3$  is also boundedly bicontrolled.
2. If  $f_1, f_3$  are boundedly bicontrolled and  $f_1$  is epic then  $f_2$  is also boundedly bicontrolled; if  $f_3$  is only boundedly controlled then  $f_2$  is also boundedly controlled.
3. If  $f_2, f_3$  are boundedly bicontrolled and  $f_2$  is monic then  $f_1$  is also boundedly bicontrolled; if  $f_3$  is only boundedly controlled then  $f_1$  is also boundedly controlled.



*Proof.* Suppose  $\text{fil}(f_i) \leq D$  and  $\text{fil}(f_j) \leq D'$  for  $\{i, j\} \subset \{1, 2, 3\}$ , then in fact  $\text{fil}(f_{6-i-j}) \leq D + D'$  in each of the three cases. For example, there are factorizations

$$\begin{aligned} f_2G(S) &\subset f_2f_1F(S[D]) = f_3F(S[D]) \subset H(S[D + D']) \\ f_2G(X) \cap H(S) &\subset f_3F(S[D']) = f_2f_1F(S[D']) \subset f_2G(S[D + D']) \end{aligned}$$

which verify part 2 with  $i = 1, j = 3$ . □

**Proposition 2.6.**  $\mathbf{U}^b(X, \mathbf{A})$  is an additive category with kernels and cokernels.

*Proof.* Additive properties are inherited from  $\mathbf{A}$ . In particular, the biproduct is given by the filtration-wise operation

$$(F \oplus G)(S) = F(S) \oplus G(S)$$

in  $\mathbf{A}$ . For any boundedly controlled morphism  $f: F \rightarrow G$ , the kernel of  $f$  in  $\mathbf{A}$  has the standard  $X$ -filtration  $K$  where

$$K(S) = \ker(f) \cap F(S)$$

which gives the kernel of  $f$  in  $\mathbf{U}^b(X, \mathbf{A})$ . The canonical monic  $\kappa: K \rightarrow F$  has filtration degree 0 and is therefore boundedly bicontrolled. It follows from part 3 of Lemma 2.5 that  $K$  has the universal properties of the kernel in  $\mathbf{U}^b(X, \mathbf{A})$ .

Similarly, let  $I$  be the standard  $X$ -filtration of the image of  $f$  in  $\mathbf{A}$  by

$$I(S) = \text{im}(f) \cap G(S).$$

Then there is a presheaf  $C$  over  $X$  with

$$C(S) = G(S)/I(S)$$

for  $S \subset X$ . Of course  $C(X)$  is the cokernel of  $f$  in  $\mathbf{A}$ . Recall from Definition 2.3 that there is an  $X$ -filtered object  $C_X$  associated to  $C$  given by

$$C_X(S) = \text{im } C(S, X).$$

The canonical morphism  $\pi: G(X) \rightarrow C(X)$  gives a morphism of filtration 0 (and which is therefore boundedly bicontrolled)  $\pi: G \rightarrow C_X$  since

$$\text{im}(\pi G(S, X)) = \text{im } C(S, X) = C_X(S).$$

This in conjunction with part 2 of Lemma 2.5 also verifies the universal cokernel properties of  $C_X$  and  $\pi$  in  $\mathbf{U}^b(X, \mathbf{A})$ . □

**Remark 2.7.** If  $\mathbf{A}$  is an abelian category and  $X$  is unbounded then  $\mathbf{U}^b(X, \mathbf{A})$  is not necessarily an abelian category.

For an explicit description of a boundedly controlled morphism in  $\mathbf{U}(\mathbb{Z}, \mathbf{Mod}(R))$  which is an isomorphism of left  $R$ -modules but whose inverse is not boundedly controlled, we refer to Example 1.5 of [17].

This indicates that under any embedding of  $\mathbf{U}^b(X, \mathbf{A})$  in an abelian category  $\mathbf{F}$  the kernels and cokernels of some morphisms in  $\mathbf{F}$  will be different from those in  $\mathbf{U}^b(X, \mathbf{A})$ .

One consequence of Remark 2.7 is that  $\mathbf{U}^b(X, \mathbf{A})$  is not a balanced category.

**Proposition 2.8.** *A morphism in  $\mathbf{U}^b(X, \mathbf{A})$  is balanced if and only if it is boundedly bicontrolled.*

**Lemma 2.9.** *An isomorphism in  $\mathbf{U}^b(X, \mathbf{A})$  is boundedly bicontrolled.*

*Proof.* Suppose  $f$  is an isomorphism in  $\mathbf{U}^b(X, \mathbf{A})$  bounded by  $D(f)$  and let  $f^{-1}$  be the inverse bounded by  $D(f^{-1})$ . Then  $f$  is boundedly bicontrolled with filtration degree  $\text{fil}(f) \leq \max\{D(f^{-1}), D(f)\}$ .  $\square$

**Lemma 2.10.** *In any additive category, a morphism  $h$  is monic if and only if  $\ker(h)$  exists and is the 0 object. Similarly,  $h$  is epic if and only if  $\text{coker}(h)$  exists and is the 0 object.*

*Proof.* Suppose  $h_1, h_2: F \rightarrow G$  and  $h: G \rightarrow H$  are such that  $hh_1 = hh_2$ , then  $h(h_1 - h_2) = 0$ . So there is a morphism  $F \rightarrow \ker(h) = 0$  such that  $F \rightarrow \ker(h) \rightarrow G$  is precisely  $h_1 - h_2$ . Hence  $h_1 - h_2 = 0$  and  $h_1 = h_2$ . Conversely, if  $h$  is monic in a category with a zero object, it is clear that  $\ker(h) = 0$ . The fact about epics is similar.  $\square$

*Proof of Proposition 2.8.* Let  $f: F \rightarrow G$  be a morphism in  $\mathbf{U}^b(X, \mathbf{A})$ , and let  $J$  be the coimage and  $I$  be the image of  $f$ . The standard filtration  $I(S) = I \cap G(S)$  makes the inclusion  $i: I \rightarrow G$  boundedly bicontrolled of filtration 0. Similarly,  $J$  is the cokernel of the inclusion of  $\ker(f)$  in  $F$ , so  $J$  has the filtration described in the proof of Proposition 2.6 which makes the projection  $p: F \rightarrow J$  boundedly bicontrolled of filtration 0.

Necessity of the condition follows from Lemma 2.9.

Now  $f$  factors as the composition

$$F \xrightarrow{p} J \xrightarrow{\theta} I \xrightarrow{i} G,$$

where  $\theta$  is the canonical map. If  $f$  is bounded by  $D$  then clearly  $\theta$  is bounded by  $D$  and has the 0 object for the kernel and the cokernel. This shows that  $\theta$  is an isomorphism in  $\mathbf{A}$  by Lemma 2.10. In particular, there is an inverse  $\theta^{-1}: J \rightarrow I$  in  $\mathbf{A}$ . Now the condition that

$$I \cap G(S) \subset fF(S[b])$$

for some number  $b$  and a subset  $S \subset X$  is equivalent to the condition

$$\theta^{-1}(I(S)) \subset J(S[b]).$$

So  $f$  is boundedly bicontrolled if and only if  $\theta^{-1}$  is bounded and, therefore, is an isomorphism in  $\mathbf{U}^b(X, \mathbf{A})$ .  $\square$

**Corollary 2.11.** *An isomorphism in  $\mathbf{U}^b(X, \mathbf{A})$  is a morphism which is an isomorphism in  $\mathbf{A}$  and is boundedly bicontrolled.*

**Definition 2.12.** The *admissible monomorphisms*  $\mathbf{mU}^b(X, \mathbf{A})$  in  $\mathbf{U}^b(X, \mathbf{A})$  consist of boundedly bicontrolled morphisms  $m: F_1 \rightarrow F_2$  such that  $m: F_1(X) \rightarrow F_2(X)$  is

a monic in  $\mathbf{A}$ . The *admissible epimorphisms*  $\mathbf{eU}^b(X, \mathbf{A})$  are the boundedly bicon-  
 trolled morphisms  $e: F_1 \rightarrow F_2$  such that  $e: F_1(X) \rightarrow F_2(X)$  is an epi in  $\mathbf{A}$ . The  
 class  $\mathcal{E}$  of exact sequences consists of the sequences

$$E^*: \quad E' \xrightarrow{i} E \xrightarrow{j} E''$$

with  $i \in \mathbf{mU}^b(X, \mathbf{A})$  and  $j \in \mathbf{eU}^b(X, \mathbf{A})$  which are exact at  $E$  in the sense that  
 $\text{im}(i)$  and  $\text{ker}(j)$  represent the same subobject of  $E$ .

**Theorem 2.13.**  $\mathbf{U}^b(X, \mathbf{A})$  is an exact category.

*Proof.* (a) It follows from Lemma 2.5 that any short exact sequence  $F^*$  isomorphic  
 to some  $E^* \in \mathcal{E}$  is also in  $\mathcal{E}$ , that

$$F^* \xrightarrow{[\text{id}, 0]} F' \oplus F'' \xrightarrow{[0, \text{id}]^T} F''$$

is in  $\mathcal{E}$ , and that  $i = \text{ker}(j)$ ,  $j = \text{coker}(i)$  in any  $E^* \in \mathcal{E}$ .

(b) The collections of morphisms  $\mathbf{mU}^b(X, \mathbf{A})$  and  $\mathbf{eU}^b(X, \mathbf{A})$  are closed under  
 composition by part 1 of Lemma 2.5.

Now suppose we are given an exact sequence

$$E^*: \quad E' \xrightarrow{i} E \xrightarrow{j} E''$$

in  $\mathcal{E}$  and a morphism  $f: A \rightarrow E'' \in \mathbf{U}^b(X, \mathbf{A})$ . Let  $D(j)$  be a filtration constant  
 for  $j$  as a boundedly controlled epi and let  $D(f)$  be a bound for  $f$  as a boundedly  
 controlled map. There is a base change diagram

$$\begin{array}{ccccc} E' & \longrightarrow & E \times_f A & \xrightarrow{j'} & A \\ = \downarrow & & \downarrow f' & & \downarrow f \\ E' & \longrightarrow & E & \xrightarrow{j} & E'' \end{array}$$

where  $m: E \times_f A \rightarrow E \oplus A$  is the kernel of the epi

$$j \circ \text{pr}_1 - f \circ \text{pr}_2: E \oplus A \rightarrow E''$$

and  $f' = \text{pr}_1 \circ m$ ,  $j' = \text{pr}_2 \circ m$ . The  $X$ -filtration on  $E \times_f A$  is the standard filtration

$$(E \times_f A)(S) = E \times_f A \cap (E(S) \times A(S)).$$

The induced map  $j'$  has the same kernel as  $j$  and is bounded by 0 since

$$j'((E \times_f A)(S)) \subset A(S).$$

In fact,

$$fA(S) \subset E''(S[D(f)]),$$

so

$$fA(S) \subset jE(S[D(f) + D(j)]),$$

and

$$\text{im}(j') \cap A(S) \subset j'(E \times_f A)(S[D(f) + D(j)]).$$

This shows that  $j'$  is boundedly bicon-  
 trolled of filtration degree  $D(f) + D(j)$ .

Therefore, the class of admissible epimorphisms is closed under base change by arbitrary morphisms in  $\mathbf{U}^b(X, \mathbf{A})$ . Cobase changes by admissible monomorphisms are similar.  $\square$

The following Proposition is an organic characterization of the exact structure in  $\mathbf{U}^b(X, \mathbf{A})$ .

**Proposition 2.14.** *The exact structure  $\mathcal{E}$  in  $\mathbf{U}^b(X, \mathbf{A})$  consists of sequences isomorphic to those*

$$E^\cdot : E' \xrightarrow{i} E \xrightarrow{j} E''$$

which possess restrictions

$$E^\cdot(S) : E'(S) \xrightarrow{i} E(S) \xrightarrow{j} E''(S)$$

for all subsets  $S \subset X$ , and each  $E^\cdot(S)$  is an exact sequence in  $\mathbf{A}$ .

*Proof.* Clearly, each of the sequences  $E^\cdot$  described in the statement is an exact sequence in  $\mathbf{U}^b(X, \mathbf{A})$ . Indeed, the restriction  $i: E'(S) \rightarrow E(S)$  is monic and  $j: E(S) \rightarrow E''(S)$  is epic, so  $i: E' \rightarrow E$  and  $j: E \rightarrow E''$  are both bicontrolled of filtration 0.

Suppose  $F^\cdot$  is a sequence isomorphic to such  $E^\cdot$ . There is a commutative diagram

$$\begin{array}{ccccc} F' & \xrightarrow{f} & F & \xrightarrow{g} & F'' \\ \cong \downarrow & & \downarrow \cong & & \downarrow \cong \\ E' & \xrightarrow{i} & E & \xrightarrow{j} & E'' \end{array}$$

Then  $f$  and  $g$  are compositions of two isomorphisms (which are boundedly bicontrolled by Lemma 2.9) which are either preceded by a boundedly bicontrolled monic or followed by a boundedly bicontrolled epi. By Lemma 2.5, part (1), both  $f$  and  $g$  are boundedly bicontrolled.

Now suppose  $F^\cdot$  is an exact sequence in  $\mathcal{E}$ . Let  $K = \ker(g)$  and  $C = \text{coker}(f)$ , then we obtain a commutative diagram

$$\begin{array}{ccccc} F' & \xrightarrow{f} & F & \xrightarrow{g} & F'' \\ \cong \downarrow & & \downarrow = & & \uparrow \cong \\ K & \xrightarrow{i} & F & \xrightarrow{j} & C \end{array}$$

where the vertical maps are the canonical isomorphisms. By the construction of kernels and cokernels in Proposition 2.6, there are exact sequences

$$K(S) \xrightarrow{i} F(S) \xrightarrow{j} C(S)$$

for all subsets  $S \subset X$ .  $\square$

**Definition 2.15.** A full subcategory  $\mathbf{H}$  of a small exact category  $\mathbf{C}$  is said to be *closed under extensions* in  $\mathbf{C}$  if  $\mathbf{H}$  contains a zero object and for any exact sequence  $C' \rightarrow C \rightarrow C''$  in  $\mathbf{C}$ , if  $C'$  and  $C''$  are isomorphic to objects from  $\mathbf{H}$  then so is  $C$ .

A Grothendieck subcategory of an exact category is a subcategory which is closed under isomorphisms, exact extensions, admissible subobjects, and admissible quotients.

It is known [20] that a subcategory closed under extensions inherits an exact structure from  $\mathbf{C}$ .

Now let  $\mathbf{E}$  be a Grothendieck subcategory of  $\mathbf{A}$  and let  $F$  be an object of  $\mathbf{U}^b(X, \mathbf{A})$ .

**Definition 2.16.** (1)  $F$  is  $\mathbf{E}$ -local if  $F(V)$  is an object of  $\mathbf{E}$  for every bounded subset  $V \subset X$ .

(2)  $F$  is lean or  $D$ -lean if there is a number  $D \geq 0$  such that for every subset  $S$  of  $X$

$$F(S) \subset \sum_{x \in S} F(B_D(x)),$$

where  $B_D(x)$  is the metric ball of radius  $D$  centered at  $x$ .

(3)  $F$  is insular or  $d$ -insular if there is a number  $d \geq 0$  such that

$$F(T) \cap F(U) \subset F(T[d] \cap U[d])$$

for every pair of subsets  $T, U$  of  $X$ .

Notice that a  $d$ -insular object has the property that for any subset  $T \subset X$ ,

$$F(T) \cap F(U) = 0$$

whenever  $T \cap U[2d] = \emptyset$ .

**Remark 2.17.** It is clear that properties (1), (2), and (3) are preserved under isomorphisms in  $\mathbf{U}^b(X, \mathbf{A})$ .

**Proposition 2.18.** (1) Lean objects are closed under exact extensions in  $\mathbf{U}^b(X, \mathbf{A})$ , that is, if

$$E' \longrightarrow E \longrightarrow E''$$

is an exact sequence in  $\mathbf{U}^b(X, \mathbf{A})$ , and  $E', E''$  are lean, then  $E$  is lean.

(2) Insular objects are closed under exact extensions in  $\mathbf{U}^b(X, \mathbf{A})$ .

(3) If in the exact sequence above the object  $E$  is lean and insular then

- (a)  $E'$  is insular,
- (b)  $E''$  is lean,
- (c)  $E''$  is insular if and only if  $E'$  is lean.

*Proof.* Let

$$E' \xrightarrow{f} E \xrightarrow{g} E''$$

be an exact sequence in  $\mathbf{U}^b(X, \mathbf{A})$  and let  $b \geq 0$  be a common filtration degree for both  $f$  and  $g$  as boundedly bicontrolled maps.

(1) Assume that both  $E'$  and  $E''$  are  $D$ -lean as objects of  $\mathbf{U}^b(X, \mathbf{A})$ . Consider  $E(S)$ , then

$$gE(S) \subset E''(S[b])$$

and so

$$gE(S) \subset \sum_{x \in S[b]} E''(B_D(x)).$$

For each  $x \in S[b]$ ,

$$E''(B_D(x)) \subset gE(B_{D+b}(x)),$$

so

$$E(S) \subset \sum_{x \in S[b]} E(B_{D+2b}(x)) + \sum_{x \in S[b]} fE'(B_{D+2b}(x)).$$

Therefore

$$E(S) \subset \sum_{x \in S[b]} E(B_{D+3b}(x)) \subset \sum_{x \in S} E(B_{D+4b}(x)),$$

so  $E$  is  $(D + 4b)$ -lean.

(2) Assuming that both  $E'$  and  $E''$  are  $d$ -insular, for any pair of subsets  $T$  and  $U$  of  $X$ ,

$$\begin{aligned} &g(E(T) \cap E(U)) \\ &\subset E''(T[b]) \cap E''(U[b]) \\ &\subset E''(T[b + d]) \cap E''(U[b + d]). \end{aligned}$$

Now we have

$$\begin{aligned} &E(T) \cap E(U) \\ &\subset E(T[2b + d]) \cap U[2b + d] + fE' \cap E(T[2b + d]) \cap E(U[2b + d]) \\ &\subset E(T[2b + d]) \cap U[2b + d] + f(E'(T[3b + d]) \cap E'(U[3b + d])) \\ &\subset E(T[2b + d]) \cap U[2b + d] + fE'(T[3b + 2d]) \cap U[3b + 2d] \\ &\subset E(T[4b + 2d]) \cap U[4b + 2d]. \end{aligned}$$

So  $E$  is  $(4b + 2d)$ -insular.

(3a) Suppose  $E$  is  $d$ -insular. Given subsets  $T$  and  $U$  of  $X$ ,

$$\begin{aligned} &f(E'(T) \cap E'(U)) \\ &\subset fE'(T) \cap fE'(U) \\ &\subset E(T[b]) \cap E(U[b]) \\ &\subset E(T[b + d]) \cap E(U[b + d]), \end{aligned}$$

so

$$E'(T) \cap E'(U) \subset E'(T[2b + d]) \cap U[2b + d].$$

Thus  $E'$  is  $(2b + d)$ -insular.

(3b) If  $E$  is  $D$ -lean then for any  $S \subset X$ ,  $E''(S) \subset gE(S[b])$ . Since

$$\begin{aligned} E(S[b]) &\subset \sum_{x \in S[b]} E(B_D(x)), \\ E''(S) &\subset \sum_{x \in S[b]} E''(B_{D+b}(x)). \end{aligned}$$

So

$$E''(S) \subset \sum_{x \in S} E''(B_{D+2b}(x)),$$

and  $E''$  is  $(D + 2b)$ -lean.

(3c) Suppose  $E'$  is  $D$ -lean and  $E$  is  $d$ -insular. For any pair of subsets  $T, U \subset X$ ,

$$E''(T) \cap E''(U) \subset gE(T[b]) \cap gE(U[b]).$$

Given

$$z \in E''(T) \cap E''(U),$$

let  $y' \in E(T[b])$  and  $y'' \in E(U[b])$  so that

$$g(y') = g(y'') = z.$$

Now

$$k = y' - y'' \in (E(T[b]) + E(U[b])) \cap \ker(g),$$

so there is

$$\bar{k} \in E'(T[2b]) + E'(U[2b]) \subset E'(T[2b] \cup U[2b])$$

with  $f(\bar{k}) = k$ . Since  $E'$  is  $D$ -lean,

$$\bar{k} \in \sum_{x \in T[2b] \cup U[2b]} E'(B_D(x)) = \sum_{x \in T[2b]} E'(B_D(x)) + \sum_{y \in U[2b]} E'(B_D(y)).$$

Hence,

$$\bar{k} \in E'(T[2b + D]) + E'(U[2b + D]).$$

This allows us to write  $\bar{k} = \bar{k}_1 + \bar{k}_2$ , where  $\bar{k}_1 \in E'(T[2b + D])$  and  $\bar{k}_2 \in E'(U[2b + D])$ .

Now

$$k = f\bar{k}_1 + f\bar{k}_2.$$

Notice that

$$y' = y'' + k = y'' + f\bar{k}_1 + f\bar{k}_2.$$

So

$$y = y' - f\bar{k}_1 = y'' + f\bar{k}_2$$

has the property

$$y \in E(T[3b + D]) \cap E(U[3b + D]) \subset E(T[3b + D + d]) \cap E(U[3b + D + d]),$$

and  $g(y) = z$ . Hence

$$z \in E''(T[4b + D + d]) \cap E''(U[4b + D + d]).$$

We conclude that  $E''$  is  $(4b + D + d)$ -insular. The converse is proved similarly; it is not used in this paper.  $\square$

**Definition 2.19.** An object  $F$  of  $\mathbf{U}^b(X, \mathbf{A})$  is called  $\ell$ -strict or simply strict if there exists an order preserving function

$$\ell: \mathcal{P}(X) \longrightarrow [0, \infty)$$

such that for every subset  $S$  of  $X$  the subobject  $F_S = F(S)$  is  $\mathbf{E}$ -local,  $\ell_S$ -lean and  $\ell_S$ -insular with respect to the standard filtration  $F_S(U) = F_S \cap F(U)$ .

Unlike the subcategory of lean and insular objects, the subcategory of strict objects is not necessarily closed under isomorphisms.

**Definition 2.20.** The *boundedly controlled* category  $\mathbf{B}(X, \mathbf{E})$  is the full subcategory of  $\mathbf{U}^b(X, \mathbf{A})$  on objects which are isomorphic to strict objects.

The terminology adopted here is convenient and should not suggest relations to boundedly controlled spaces and maps introduced earlier by Anderson and Munkholm [1].

**Remark 2.21.** The exact subcategory  $\mathbf{E}$  is not assumed to be cocomplete. In fact, the construction is most interesting when it is not. Notice also that the notation  $\mathbf{B}(X, \mathbf{E})$  does not suggest that the objects  $F$  have the terminal piece  $F(X)$  in  $\mathbf{E}$ , unlike the notation for  $\mathbf{U}^b(X, \mathbf{A})$  where  $F(X)$  are in  $\mathbf{A}$ . The object  $F(X)$  is contained in the cocompletion of  $\mathbf{E}$  in  $\mathbf{A}$ .

**Theorem 2.22.**  $\mathbf{B}(X, \mathbf{E})$  is closed under extensions in  $\mathbf{U}^b(X, \mathbf{A})$ .

*Proof.* Let

$$E' \xrightarrow{f} E \xrightarrow{g} E''$$

be an exact sequence in  $\mathbf{U}^b(X, \mathbf{E})$  and let  $b \geq 0$  be a common filtration degree for both  $f$  and  $g$  as boundedly bicontrolled maps. We will also assume, without loss of generality, that both  $E'$  and  $E''$  are  $\ell$ -strict for some function  $\ell \geq 0$ . We need to check that  $E$  is isomorphic to a strict object.

Since  $\mathbf{E}$  is a Grothendieck subcategory of  $\mathbf{A}$ , for every bounded subset  $V \subset X$  the restriction

$$g|E(V): E(V) \longrightarrow gE(V)$$

is an admissible epimorphism onto an admissible subobject of  $E''(V[D])$ , which is in  $\mathbf{E}$ . The kernel of  $g|E(V)$  is the admissible subobject  $\ker(g) \cap E(V)$  of  $E(V)$ , which is also in  $\mathbf{E}$ . So  $E(V)$  is in  $\mathbf{E}$  by closure under extensions in  $\mathbf{A}$ .

To see that  $E$  is isomorphic to a strict object, consider  $S \subset X$  so that  $E''(S[b])$  is  $\ell_{S[b]}$ -lean and  $\ell_{S[b]}$ -insular. The induced epi

$$g: E(S[2b]) \cap g^{-1}E''(S[b]) \longrightarrow E''(S[b])$$

extends to another epi

$$g': fE'(S[3b]) + E(S[2b]) \cap g^{-1}E''(S[b]) \longrightarrow E''(S[b])$$

with  $\ker(g') = E'(S[3b])$ .

Since both  $E'(S[3b])$  and  $E''(S[b])$  are  $\mathbf{E}$ -local,  $\ell_{S[3b]}$ -lean, and  $\ell_{S[3b]}$ -insular, parts (1) and (2) of Proposition 2.18 show that

$$\widehat{E}(S) = fE'(S[3b]) + E(S[2b]) \cap g^{-1}E''(S[b])$$

is  $(3b + 4b)$ -lean and  $(6b + 4b)$ -insular. This makes the filtration  $\widehat{E}$   $\phi$ -strict for the function  $\phi_S = \ell_{S[10b]}$ .



Clearly, the identity map  $\text{id}: E(X) \rightarrow E(X)$  gives an isomorphism  $\text{id}: \widehat{E} \rightarrow E$  with  $\text{fil}(\text{id}) \leq 4b$ .  $\square$

**Corollary 2.23.**  $\mathbf{B}(X, \mathbf{E})$  is an exact category in the sense of Quillen.

The bounded theory of geometric free modules described in the Introduction can be generalized to arbitrary additive categories.

**Definition 2.24.** Given a proper metric space  $M$  and an additive category  $\mathcal{A}$ , Pedersen–Weibel [17] define the category of *geometric objects*  $\mathcal{B}(M, \mathcal{A})$  as follows. The objects are functions  $F$  from  $M$  to the objects of  $\mathcal{A}$  which satisfy the local finiteness condition: a bounded subset of  $M$  contains only finitely many points  $x \in M$  such that the values  $F(x)$  are nonzero. A morphism  $\phi: F \rightarrow G$  of degree  $D \geq 0$  is a collection of  $\mathcal{A}$ -morphisms

$$\phi(x, y): F(x) \rightarrow G(y)$$

such that  $\phi(x, y)$  is zero unless  $d(x, y) \leq D$ .

The category  $\mathcal{B}(M, \mathcal{A})$  is an additive category with the biproduct

$$(F \oplus G)(x) = F(x) \oplus G(x).$$

The associated bounded  $K$ -theory spectrum is denoted by  $K(M, \mathcal{A})$ .

Given an exact category  $\mathbf{E}$ , one can view  $\mathbf{E}$  as an additive category with the underlying split exact structure. When we use  $\mathbf{E}$  as coefficients in a category of geometric objects  $\mathcal{B}(M, \mathbf{E})$ , this is the structure that is implicitly understood.

**Corollary 2.25.** The additive category  $\mathcal{B}(X, \mathbf{E})$  of geometric objects with the split exact structure is an exact subcategory of  $\mathbf{B}(X, \mathbf{E})$ .

*Proof.* The  $X$ -filtration of the geometric objects in  $\mathcal{B}(X, \mathbf{E})$  is given by

$$F(S) = \bigoplus_{x \in S} F(x),$$

and the structure maps are the boundedly controlled inclusions and projections onto direct summands.  $\square$

Recall that a morphism  $e: F \rightarrow F$  is an idempotent if  $e^2 = e$ . Categories in which every idempotent is the projection onto a direct summand of  $F$  are called *idempotent complete*. Abelian categories are clearly idempotent complete. Thus  $\mathbf{A}$  and its Grothendieck subcategories, which are abelian, are idempotent complete.

**Corollary 2.26.** The subcategory  $\mathbf{B}(X, \mathbf{E})$  is idempotent complete.

*Proof.* Since the restriction of an idempotent  $e$  to the image of  $e$  is the identity, every idempotent is boundedly bicontrolled of filtration 0. It follows easily that the splitting of  $e$  in  $\mathbf{A}$  is in fact a splitting in  $\mathbf{B}(X, \mathbf{E})$ .  $\square$

**Proposition 2.27.** The subcategory  $\mathbf{B}(X, \mathbf{E})$  is closed under admissible quotients of strict objects. Precisely, for a given boundedly bicontrolled epi  $f: F \rightarrow G$  in  $\mathbf{U}^b(X, \mathbf{A})$  where both  $F$  and the kernel  $k: K \rightarrow F$  with the standard filtration  $K(S) = K \cap F(S)$  are strict, the cokernel  $G$  is isomorphic to a strict object.

*Proof.* Suppose  $\text{fil}(f) \leq b$ , then from the assumptions

$$K(S[b]) \longrightarrow F(S[b]) \longrightarrow fF(S[b])$$

is an exact sequence in  $\mathbf{U}^b(X, \mathbf{A})$  for any subset  $S \subset X$ . Since  $F(S[b])$  is lean and insular and  $K(S[b])$  is lean, the quotient  $fF(S[b])$  is lean and insular by Proposition 2.18. It is clear that  $fF(S[b])$  is also  $\mathbf{E}$ -local. Thus the object  $\widehat{G}$  with filtration

$$\widehat{G}(S) = fF(S[b])$$

is strict. The identity map induces an isomorphism  $\text{id}: G \rightarrow \widehat{G}$  with  $\text{fil}(\text{id}) \leq 2b$  because for all  $S \subset X$  we have  $G(S) \subset \widehat{G}(S)$  and  $\widehat{G}(S) \subset G(S[2b])$ .  $\square$

**Remark 2.28.** For additional flexibility, one may want to impose weaker requirements on objects in  $\mathbf{U}^b(X, \mathbf{A})$ . Restricting as in Definition 2.20 to objects  $F$  with a fixed locally finite covering  $\mathcal{U} \subset \mathcal{P}(X)$  by bounded subsets  $U \in \mathcal{B}(X)$  such that

$$F(X) = \sum_{U \in \mathcal{U}} F(U)$$

gives another exact category. In this case, one may also relax the bounded control conditions on the maps to those of Lipschitz type. Similar modifications have become useful in recent work of Hambleton–Pedersen [11] and Pedersen–Weibel [19] in controlled  $K$ -theory.

### 3. Localization in controlled categories

**Definition 3.1.** Let  $F$  be an object of  $\mathbf{B}(X, \mathbf{E})$  and  $Z$  be a subset of  $X$ . We say  $F$  is *supported near*  $Z$  if there is a number  $d \geq 0$  such that  $F(X) \subset F(Z[d])$ .

Let  $\mathbf{B}(X, \mathbf{E})_{<Z}$  be the full subcategory of  $\mathbf{B}(X, \mathbf{E})$  on objects supported near  $Z$ . If  $\mathbf{B}_d(X, \mathbf{E})_{<Z}$  denotes the full subcategory of  $\mathbf{B}(X, \mathbf{E})$  with objects  $F$  as above then

$$\mathbf{B}(X, \mathbf{E})_{<Z} = \text{colim}_d \mathbf{B}_d(X, \mathbf{E})_{<Z}.$$

**Proposition 3.2.**  $\mathbf{B}(X, \mathbf{E})_{<Z}$  is a Grothendieck subcategory of  $\mathbf{B}(X, \mathbf{E})$ .

*Proof.* First we show closure under exact extensions. Let

$$F' \xrightarrow{f} F \xrightarrow{g} F''$$

be an exact sequence in  $\mathbf{B}(X, \mathbf{E})$ . Let  $b$  be a common filtration degree of  $f$  and  $g$  and let  $d', d'' \geq 0$  be numbers with  $F' = F'(Z[d'])$  and  $F'' = F''(Z[d''])$ . Since  $F = I + M$ , where  $I = \text{im}(f)$  and  $M$  is any subobject  $M \subset F$  with  $g(M) = F''$ , it suffices to show that for some  $d \geq 0$

$$I(X) = I(Z[d]) \subset F(Z[d]),$$

and that  $M$  can be chosen to be a subobject of  $F(Z[d])$ . Indeed,

$$\begin{aligned} I(X) &= fF'(X) = fF'(Z[d']) \subset F(Z[d' + b]), \\ F''(X) &= gF(X) \cap F''(Z[d'']) \subset gF(Z[d'' + b]). \end{aligned}$$

Let  $M = F(Z[d'' + b])$ . If we choose  $d = \max\{d' + b, d'' + b\}$  then  $F = F(Z[d])$  is in  $\mathbf{B}(X, R)_{<Z}$ .

Now suppose  $f: F' \rightarrow F$  is an admissible subobject in  $\mathbf{B}(X, \mathbf{E})$ , which is a boundedly bicontrolled monic with  $\text{fil}(f) \leq b$ ,  $F = F(Z[d])$ , and  $F$  is  $c$ -insular. Also suppose  $F$  and  $F'$  are respectively  $D$ - and  $D'$ -lean, then notice that

$$fF'(B_{D'}(x)) \subset F(B_{D'+b}(x)),$$

while  $F(X) \subset F(Z[d])$ . Therefore,

$$F'(B_{D'}(x)) = 0$$

for

$$x \in X - Z[d + D + D' + b + 2c].$$

This means that

$$F' = F'(Z[d + D + 2D' + b + 2c]).$$

On the other hand, if  $g: F \rightarrow F''$  is an admissible quotient of filtration  $b$  then it is easy to see that

$$F'' = F''(Z[d + D + b])$$

is also in  $\mathbf{B}(X, \mathbf{E})_{<Z}$ . Since  $\mathbf{B}(X, \mathbf{E})_{<Z}$  is clearly closed under isomorphisms, this proves the assertion.  $\square$

Given an object  $F \in \mathbf{B}(X, \mathbf{E})$  and a subset  $T \subset X$ , we will need a construction of an admissible subobject  $\tilde{F}$  of  $F$  in  $\mathbf{B}(X, \mathbf{E})$  such that

$$F(T) \subset \tilde{F} \subset F(T[D])$$

for some  $D \geq 0$ .

Choose a strict  $F'$  isomorphic to  $F$  in  $\mathbf{B}(X, \mathbf{E})$  and assume the chosen isomorphism and its inverse are of filtration  $b$ .

**Lemma 3.3.** *The subobject  $\tilde{F} = F'(T[b])$  is an admissible subobject of  $F$  in  $\mathbf{B}(X, \mathbf{E})$  and satisfies*

$$F(T) \subset \tilde{F} \subset F(T[2b]).$$

*Proof.* The cokernel  $G'$  of the inclusion  $k: F'(T[b]) \rightarrow F$  is in  $\mathbf{B}(X, \mathbf{E})$  by Proposition 2.27. We can view  $F'(T[b])$  as an admissible subobject of  $F$  with the cokernel  $G$  isomorphic to  $G'$ .  $\square$

**Definition 3.4.** A class of morphisms  $\Sigma$  in an additive category  $\mathbf{C}$  admits a calculus of right fractions if

1. the identity of each object is in  $\Sigma$ ,
2.  $\Sigma$  is closed under composition,

3. each diagram  $F \xrightarrow{f} G \xleftarrow{s} G'$  with  $s \in \Sigma$  can be completed to a commutative square

$$\begin{array}{ccc} F' & \xrightarrow{f'} & G' \\ \downarrow t & & \downarrow s \\ F & \xrightarrow{f} & G \end{array}$$

with  $t \in \Sigma$ , and

4. if  $f$  is a morphism in  $\mathbf{C}$  and  $s \in \Sigma$  such that  $sf = 0$  then there exists  $t \in \Sigma$  such that  $ft = 0$ .

In this case there is a construction of the *localization*  $\mathbf{C}[\Sigma^{-1}]$  which has the same objects as  $\mathbf{C}$ . The morphism sets  $\text{Hom}(F, G)$  in  $\mathbf{C}[\Sigma^{-1}]$  consist of equivalence classes of diagrams

$$(s, f): \quad F \xleftarrow{s} F' \xrightarrow{f} G$$

with the equivalence relation generated by  $(s_1, f_1) \sim (s_2, f_2)$  if there is a map  $h: F'_1 \rightarrow F'_2$  so that  $f_1 = f_2h$  and  $s_1 = s_2h$ . Let  $(s|f)$  denote the equivalence class of  $(s, f)$ .

The composition of morphisms in  $\mathbf{C}[\Sigma^{-1}]$  is defined by

$$(s|f) \circ (t|g) = (st'|gf')$$

where  $g'$  and  $s'$  fit in the commutative square

$$\begin{array}{ccc} F'' & \xrightarrow{f'} & G' \\ \downarrow t' & & \downarrow t \\ F & \xrightarrow{f} & G \end{array}$$

from axiom 3.

**Proposition 3.5.** *The localization  $\mathbf{C}[\Sigma^{-1}]$  is a category. The morphisms of the form  $(\text{id}|s)$  where  $s \in \Sigma$  are isomorphisms in  $\mathbf{C}[\Sigma^{-1}]$ . The rule  $P_\Sigma(f) = (\text{id}|f)$  gives a functor*

$$P_\Sigma: \mathbf{C} \longrightarrow \mathbf{C}[\Sigma^{-1}]$$

*which is universal among the functors making the morphisms  $\Sigma$  invertible.*

*Proof.* The proofs of these facts can be found in Chapter I of [9]. The inverse of  $(\text{id}|s)$  is  $(s|\text{id})$ . □

Suppose  $\mathbf{E}$  is a Grothendieck subcategory of a cocomplete abelian category  $\mathbf{A}$ , and let  $\mathbf{Z}$  be the subcategory  $\mathbf{B}(X, \mathbf{E})_{<Z}$  of  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$  for a fixed choice of  $Z \subset X$ . Let the class of *weak equivalences*  $\Sigma$  consist of all finite compositions of admissible monomorphisms with cokernels in  $\mathbf{Z}$  and admissible epimorphisms with kernels in  $\mathbf{Z}$ . We will show that the class  $\Sigma$  admits a calculus of right fractions.

**Definition 3.6.** A Grothendieck subcategory  $\mathbf{Z} \subset \mathbf{B}$  is *right filtering* if each morphism  $f: F \rightarrow G$  in  $\mathbf{B}$ , where  $G$  is an object of  $\mathbf{Z}$ , factors through an admissible epimorphism  $e: F \rightarrow \overline{G}$ , where  $\overline{G}$  is in  $\mathbf{Z}$ .

**Lemma 3.7.** *The subcategory  $\mathbf{Z} = \mathbf{B}(X, \mathbf{E})_{<Z}$  of  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$  is right filtering.*

*Proof.* Suppose first that  $F$  and  $G$  are strict with the characteristic functions  $\ell_F$  and  $\ell_G$  respectively. Let  $L_F = \ell_F(X)$  and  $L_G = \ell_G(X)$ . If

$$G = G(Z[d_G])$$

and the given morphism  $f: F \rightarrow G$  is bounded by  $d$  then we have

$$fF(B_{L_G}(x)) \subset G(B_{L_G+b}(x)) = 0$$

for all

$$x \in X - Z[d_G + L_G + 2L_G + d + L_F].$$

Let

$$E = F(X - Z[d_G + L_G + 2L_G + d + L_F]),$$

then  $fE = 0$ . Now  $E$  is an admissible subobject of  $F$  by Lemma 3.3; let  $\overline{G}$  be the cokernel of the inclusion. Since

$$\overline{G}(B_{L_F}(x)) = 0$$

for all

$$x \in X - Z[d_G + L_G + 2L_G + d + L_F],$$

we have

$$\overline{G} = \overline{G}(Z[d_G + 2L_G + 2L_G + d + L_F])$$

as an object of  $\mathbf{Z}$ . The required factorization is the right square in the map between the two exact sequences

$$\begin{array}{ccccc} E & \longrightarrow & F & \xrightarrow{j'} & \overline{G} \\ i \downarrow & & \downarrow = & & \downarrow \\ K & \xrightarrow{k} & F & \xrightarrow{f} & G \end{array}$$

If  $F$  and  $G$  are not strict, one considers a map  $f': F' \rightarrow G'$  between strict objects isomorphic to  $F$  and  $G$  and chooses the subobject

$$E = F'(X - Z[d_G + L_G + 2L_G + d + L_F + 4b])$$

of  $F'$  for an appropriate value of  $b$ , as in the proof of Lemma 3.3. □

**Corollary 3.8.** *The class  $\Sigma$  admits a calculus of right fractions.*

*Proof.* This follows from Lemma 3.7, see Lemma 1.13 of [22]. □

**Definition 3.9.** The quotient category  $\mathbf{B}/\mathbf{Z}$  is the localization  $\mathbf{B}[\Sigma^{-1}]$ .

It is clear that the quotient  $\mathbf{B}/\mathbf{Z}$  is an additive category, and  $P_\Sigma$  is an additive functor. In fact, we have the following.

**Theorem 3.10.** *The short sequences in  $\mathbf{B}/\mathbf{Z}$  which are isomorphic to images of exact sequences from  $\mathbf{B}$  form a Quillen exact structure.*

The proof uses the following fact.

**Definition 3.11.** An extension closed full subcategory  $\mathbf{Z}$  of an exact category  $\mathbf{B}$  is called *right s-filtering* if whenever  $f: F \rightarrow G$  is an admissible monomorphism with  $F$  in  $\mathbf{Z}$  then there exist an object  $E$  in  $\mathbf{Z}$  and an admissible epimorphism  $e: G \rightarrow E$  such that the composition  $ef$  is an admissible monomorphism.

**Lemma 3.12** (Schlichting). *Let  $\mathbf{Z}$  be a right filtering and right s-filtering subcategory in  $\mathbf{B}$ . Then the quotient category  $\mathbf{B}/\mathbf{Z}$ , equipped with the images of the exact sequences from  $\mathbf{B}$ , is an exact category. Moreover, exact functors from  $\mathbf{B}$  vanishing on  $\mathbf{Z}$  are in bijective correspondence with exact functors from  $\mathbf{B}/\mathbf{Z}$ .*

*Proof.* See Proposition 1.16 of [22]. □

*Proof of Theorem 3.10.* Since  $\mathbf{Z}$  is right filtering by Lemma 3.7, it remains to check that  $\mathbf{Z}$  is right s-filtering.

Again, suppose that  $F$  and  $G$  are strict with the characteristic functions  $\ell_F$  and  $\ell_G$  and let  $L_F = \ell_F(X)$  and  $L_G = \ell_G(X)$ . Assume that  $F = F(Z[d_F])$ ,  $\text{fil}(f) \leq d$ , and let

$$G' = G(X - Z[d_F + 2L_F + 2L_G + d + L_G]).$$

Let  $e: G \rightarrow E$  be the cokernel of the inclusion, then  $f(F) \cap G' = 0$ , so that  $ef$  is an admissible monomorphism with  $\text{fil}(ef) = \text{fil}(f) \leq d$ . If  $F$  and  $G$  are not strict but are isomorphic to strict objects, one makes obvious adjustments. □

The main tool in proving controlled excision in the boundedly controlled  $K$ -theory will be the following localization theorem.

**Theorem 3.13** (Theorem 2.1 of Schlichting [22]). *Let  $\mathbf{Z}$  be an idempotent complete right s-filtering subcategory of an exact category  $\mathbf{B}$ . Then the sequence of exact categories  $\mathbf{Z} \rightarrow \mathbf{B} \rightarrow \mathbf{B}/\mathbf{Z}$  induces a homotopy fibration of  $K$ -theory spectra*

$$K(\mathbf{Z}) \longrightarrow K(\mathbf{B}) \longrightarrow K(\mathbf{B}/\mathbf{Z}).$$

#### 4. Bounded excision theorem

The proof of controlled excision in the boundedly controlled  $G$ -theory requires the context of Waldhausen  $K$ -theory of derived categories.

**Definition 4.1** (Waldhausen categories). A *Waldhausen category* is a category  $\mathbf{D}$  with a zero object  $0$  together with two chosen subcategories of *cofibrations*  $\text{co}(\mathbf{D})$  and *weak equivalences*  $\mathbf{w}(\mathbf{D})$  satisfying the four axioms:

1. every isomorphism in  $\mathbf{D}$  is in both  $\text{co}(\mathbf{D})$  and  $\mathbf{w}(\mathbf{D})$ ,
2. every map  $0 \rightarrow D$  in  $\mathbf{D}$  is in  $\text{co}(\mathbf{D})$ ,
3. if  $A \rightarrow B \in \text{co}(\mathbf{D})$  and  $A \rightarrow C \in \mathbf{D}$  then the pushout  $B \cup_A C$  exists in  $\mathbf{D}$ , and the canonical map  $C \rightarrow B \cup_A C$  is in  $\text{co}(\mathbf{D})$ ,

4. (“gluing lemma”) given a commutative diagram

$$\begin{array}{ccccc} B & \xleftarrow{a} & A & \longrightarrow & C \\ \downarrow & & \downarrow & & \downarrow \\ B' & \xleftarrow{a'} & A' & \longrightarrow & C' \end{array}$$

in  $\mathbf{D}$ , where the morphisms  $a$  and  $a'$  are in  $\text{co}(\mathbf{D})$  and the vertical maps are in  $\mathbf{w}(\mathbf{D})$ , the induced map  $B \cup_A C \rightarrow B' \cup_{A'} C'$  is also in  $\mathbf{w}(\mathbf{D})$ .

A Waldhausen category  $\mathbf{D}$  with weak equivalences  $\mathbf{w}(\mathbf{D})$  is often denoted by  $\mathbf{wD}$  as a reminder of the choice. A functor between Waldhausen categories is exact if it preserves cofibrations and weak equivalences.

A Waldhausen category may or may not satisfy the following additional axioms.

**Saturation axiom 4.2.** Given two morphisms  $\phi: F \rightarrow G$  and  $\psi: G \rightarrow H$  in  $\mathbf{D}$ , if any two of  $\phi$ ,  $\psi$ , or  $\psi\phi$ , are in  $\mathbf{w}(\mathbf{D})$  then so is the third.

**Extension axiom 4.3.** Given a commutative diagram

$$\begin{array}{ccccc} F & \longrightarrow & G & \longrightarrow & H \\ \downarrow \phi & & \downarrow \psi & & \downarrow \mu \\ F' & \longrightarrow & G' & \longrightarrow & H' \end{array}$$

with exact rows, if both  $\phi$  and  $\mu$  are in  $\mathbf{w}(\mathbf{D})$  then so is  $\psi$ .

A *cylinder functor* on  $\mathbf{D}$  is a functor  $C$  from the category of morphisms  $f: F \rightarrow G$  in  $\mathbf{D}$  to  $\mathbf{D}$  together with three natural transformations  $j_1: F \rightarrow C(f)$ ,  $j_2: G \rightarrow C(f)$ , and  $p: C(f) \rightarrow G$  which satisfy  $pj_2 = \text{id}_G$  and  $pj_1 = f$  for all  $f$ . The cylinder functor has to satisfy a number of properties listed in point 1.3.1 of [25]. They will be rather automatic for the functors we construct later.

**Cylinder axiom 4.4.** A cylinder functor  $C$  satisfies this axiom if for all morphisms  $f: F \rightarrow G$  the required map  $p$  is in  $\mathbf{w}(\mathbf{D})$ .

Let  $\mathbf{D}$  be a small Waldhausen category with respect to two categories of weak equivalences  $\mathbf{v}(\mathbf{D}) \subset \mathbf{w}(\mathbf{D})$  with a cylinder functor  $T$  both for  $\mathbf{vD}$  and for  $\mathbf{wD}$  satisfying the cylinder axiom for  $\mathbf{wD}$ . Suppose also that  $\mathbf{w}(\mathbf{D})$  satisfies the extension and saturation axioms.

Define  $\mathbf{vD}^{\mathbf{w}}$  to be the full subcategory of  $\mathbf{vD}$  whose objects are  $F$  such that  $0 \rightarrow F \in \mathbf{w}(\mathbf{D})$ . Then  $\mathbf{vD}^{\mathbf{w}}$  is a small Waldhausen category with cofibrations  $\text{co}(\mathbf{D}^{\mathbf{w}}) = \text{co}(\mathbf{D}) \cap \mathbf{D}^{\mathbf{w}}$  and weak equivalences  $\mathbf{v}(\mathbf{D}^{\mathbf{w}}) = \mathbf{v}(\mathbf{D}) \cap \mathbf{D}^{\mathbf{w}}$ . The cylinder functor  $T$  for  $\mathbf{vD}$  induces a cylinder functor for  $\mathbf{vD}^{\mathbf{w}}$ . If  $T$  satisfies the cylinder axiom then the induced functor does so too.

**Theorem 4.5** (Approximation theorem). *Let  $E: \mathbf{D}_1 \rightarrow \mathbf{D}_2$  be an exact functor between two small saturated Waldhausen categories. It induces a map of  $K$ -theory spectra*

$$K(E): K(\mathbf{D}_1) \longrightarrow K(\mathbf{D}_2).$$

Assume that  $\mathbf{D}_1$  has a cylinder functor satisfying the cylinder axiom. If  $E$  satisfies two conditions:

1. a morphism  $f \in \mathbf{D}_1$  is in  $\mathbf{w}(\mathbf{D}_1)$  if and only if  $E(f) \in \mathbf{D}_2$  is in  $\mathbf{w}(\mathbf{D}_2)$ ,
2. for any object  $D_1 \in \mathbf{D}_1$  and any morphism  $g: E(D_1) \rightarrow D_2$  in  $\mathbf{D}_2$ , there is an object  $D'_1 \in \mathbf{D}_1$ , a morphism  $f: D_1 \rightarrow D'_1$  in  $\mathbf{D}_1$ , and a weak equivalence  $g': E(D'_1) \rightarrow D_2 \in \mathbf{w}(\mathbf{D}_2)$  such that  $g = g'E(f)$ ,

then  $K(E)$  is a homotopy equivalence.

*Proof.* This is Theorem 1.6.7 of [26]. The presence of the cylinder functor with the cylinder axiom allows to make condition 2 weaker than that of Waldhausen, see point 1.9.1 in [25].  $\square$

**Definition 4.6.** In any additive category, a sequence of morphisms

$$E^\cdot: 0 \longrightarrow E^1 \xrightarrow{d_1} E^2 \xrightarrow{d_2} \dots \xrightarrow{d_{n-1}} E^n \longrightarrow 0$$

is called a (bounded) chain complex if the compositions  $d_{i+1}d_i$  are the zero maps for all  $i = 1, \dots, n - 1$ . A chain map  $f: F^\cdot \rightarrow E^\cdot$  is a collection of morphisms  $f^i: F^i \rightarrow E^i$  such that  $f^i d_i = d_i f^i$ .

A chain map  $f$  is null-homotopic if there are morphisms  $s_i: F^{i+1} \rightarrow E^i$  such that  $f = ds + sd$ . Two chain maps  $f, g: F^\cdot \rightarrow E^\cdot$  are chain homotopic if  $f - g$  is null-homotopic. Now  $f$  is a chain homotopy equivalence if there is a chain map  $h: E^i \rightarrow F^i$  such that the compositions  $fh$  and  $hf$  are chain homotopic to the respective identity maps.

The Waldhausen structures on categories of bounded chain complexes are based on homotopy equivalence as a weakening of the notion of isomorphism of chain complexes.

**Definition 4.7.** A sequence of maps in an exact category is called acyclic if it is assembled out of short exact sequences in the sense that each map factors as the composition of the cokernel of the preceding map and the kernel of the succeeding map.

It is known that the class of acyclic complexes in an exact category is closed under isomorphisms in the homotopy category if and only if the category is idempotent complete, which is also equivalent to the property that each contractible chain complex is acyclic, cf. [14, sec. 11].

**Definition 4.8.** Given an exact category  $\mathbf{E}$ , there is a standard choice for the Waldhausen structure on the derived category  $\mathbf{E}'$  of bounded chain complexes in  $\mathbf{E}$ . The cofibrations  $\mathbf{co}(\mathbf{E}')$  are the degree-wise admissible monomorphisms. The weak equivalences  $\mathbf{v}(\mathbf{E}')$  are the chain maps whose mapping cones are homotopy equivalent to acyclic complexes.

We will denote this Waldhausen structure by  $\mathbf{vE}'$ .

**Proposition 4.9.** The category  $\mathbf{vE}'$  is a Waldhausen category satisfying the extension and saturation axioms and has cylinder functor satisfying the cylinder axiom.



*Proof.* The pushouts along cofibrations in  $\mathbf{E}'$  are the complexes of pushouts in each degree. All standard Waldhausen axioms including the gluing lemma are clearly satisfied. The saturation and the extension axioms are also clear.

The cylinder functor  $C$  for  $\mathbf{vE}'$  is defined using the canonical homotopy pushout as in point 1.1.2 in Thomason–Trobaugh [25]. Given a chain map  $f: F \rightarrow G$ ,  $C(f)$  is the canonical homotopy pushout of  $f$  and the identity  $\text{id}: F \rightarrow F$ . With this construction, the map  $p: C(f) \rightarrow G$  is a chain homotopy equivalence, so the cylinder axiom is also satisfied.  $\square$

**Definition 4.10.** There are two choices for the Waldhausen structure on the bounded derived category  $\mathbf{B}'$  for the exact boundedly controlled category  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$ . One is  $\mathbf{vB}'$  as in Definition 4.8. Given a metric subspace  $Z$  in  $X$ , the other choice for the weak equivalences  $\mathbf{w}(\mathbf{B}')$  is the chain maps whose mapping cones are homotopy equivalent to acyclic complexes in the quotient  $\mathbf{B}/\mathbf{Z}$ .

We will denote the second Waldhausen structure by  $\mathbf{wB}'$ .

**Corollary 4.11.** *The categories  $\mathbf{vB}'$  and  $\mathbf{wB}'$  are Waldhausen categories satisfying the extension and saturation axioms and have cylinder functors satisfying the cylinder axiom.*

*Proof.* All axioms and constructions, including the cylinder functor, for  $\mathbf{wB}'$  are inherited from  $\mathbf{vB}'$ .  $\square$

The  $K$ -theory functor from the category of small Waldhausen categories  $\mathbf{D}$  and exact functors to connective spectra is defined in terms of  $S$ -construction as in Waldhausen [26]. It extends to simplicial categories  $\mathbf{D}$  with cofibrations and weak equivalences and inductively gives the connective spectrum

$$n \mapsto |\mathbf{wS}^{(n)} \mathbf{D}|.$$

We obtain the functor assigning to  $\mathbf{D}$  the connective  $\Omega$ -spectrum

$$K(\mathbf{D}) = \Omega^\infty |\mathbf{wS}^{(\infty)} \mathbf{D}| = \varinjlim_{n \geq 1} \Omega^n |\mathbf{wS}^{(n)} \mathbf{D}|$$

representing the Waldhausen algebraic  $K$ -theory of  $\mathbf{D}$ . For example, if  $\mathbf{D}$  is the additive category of free finitely generated  $R$ -modules with the canonical Waldhausen structure, then the stable homotopy groups of  $K(\mathbf{D})$  are the usual  $K$ -groups of the ring  $R$ . In fact, there is a general identification of the two theories.

Recall that for any exact category  $\mathbf{E}$ , the derived category  $\mathbf{E}'$  has the Waldhausen structure  $\mathbf{vE}'$  as in Definition 4.8.

**Theorem 4.12.** *The Quillen  $K$ -theory of an exact category  $\mathbf{E}$  is equivalent to the Waldhausen  $K$ -theory of  $\mathbf{vE}'$ .*

*Proof.* The proof is based on repeated applications of the additivity theorem, cf. Thomason’s Theorem 1.11.7 [25]. Thomason’s proof of his Theorem 1.11.7 can be repeated verbatim here. It is in fact simpler in this case since condition 1.11.3.1 is not required.  $\square$

Let  $\mathbf{E}$  be a Grothendieck subcategory of a cocomplete abelian category  $\mathbf{A}$  and let  $(Z, X)$  be a pair of proper metric spaces.

We will use the notation  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$  and  $\mathbf{Z} = \mathbf{B}(X, \mathbf{E})_{<Z}$ .

**Theorem 4.13** (Localization). *If  $\mathbf{E}$  is idempotent complete, there is a homotopy fibration*

$$K(Z, \mathbf{E}) \longrightarrow K(X, \mathbf{E}) \longrightarrow K(\mathbf{B}/\mathbf{Z}).$$

This is a direct consequence of Theorem 3.13 as soon as we identify  $K(Z, \mathbf{E})$  with  $K(\mathbf{Z}) = K(X, \mathbf{E})_{<Z}$ .

Recall that the *essential full image* of a functor  $F: \mathbf{C} \rightarrow \mathbf{D}$  is the full subcategory of  $\mathbf{D}$  whose objects are those  $D$  such that  $D \cong F(C)$  for some  $C$  from  $\mathbf{C}$ .

There is a fully faithful embedding

$$\epsilon: \mathbf{B}(Z, \mathbf{E}) \longrightarrow \mathbf{B}(X, \mathbf{E})$$

defined by associating to each filtered object  $F \in \mathbf{B}(Z, \mathbf{E})$  the extension  $\epsilon(F) \in \mathbf{B}(X, \mathbf{E})$  given by

$$\epsilon(F)(S) = F(S \cap Z).$$

It is clear that  $\epsilon(F)$  is strict for strict  $F$ .

**Lemma 4.14.** *The essential full image of  $\mathbf{B}(Z, \mathbf{E})$  in  $\mathbf{B}(X, \mathbf{E})$  is the Grothendieck subcategory  $\mathbf{B}(X, \mathbf{E})_{<Z}$ .*

*Proof.* Of course for each  $F$  in  $\mathbf{B}(Z, \mathbf{E})$ , the image  $\epsilon(F)$  is in  $\mathbf{B}(X, \mathbf{E})_{<Z}$ . Now if  $G(X) = G(Z[d])$  is an object of  $\mathbf{B}(X, \mathbf{E})_{<Z}$  then there is a bounded function  $r: Z[d] \rightarrow Z$ , bounded by  $d$ , which gives an object  $R = R(G)$  of  $\mathbf{B}(Z, \mathbf{E})$  by the assignment  $R(S) = G(r^{-1}(S))$ .

If  $G$  is strict then the new object  $R$  is  $\mathbf{E}$ -local and strict with  $\ell_R = \ell_G + d$ . Since the identity map  $\text{id}: R \rightarrow G$  is boundedly bicontrolled with  $\text{fil}(\text{id}) \leq 2d$ , it is an isomorphism in  $\mathbf{B}(X, \mathbf{E})$ .  $\square$

**Corollary 4.15.** *For any pair of proper metric spaces  $Z \subset X$ , there is a weak equivalence  $K(Z, \mathbf{E}) \simeq K(X, \mathbf{E})_{<Z}$ .*

Now Theorem 4.13 follows from the localization fibration in Theorem 3.13.

The results of Theorem 4.13 and Lemma 4.14 can be generalized to a more general and convenient geometric situation.

Suppose  $Z$  is an arbitrary subset of a proper metric space  $X$ . It is a metric subspace with the metric which is the restriction of the metric in  $X$ . When  $Z$  is a closed subset then the closed metric balls in  $Z$  are closed subsets of closed metric balls in  $X$  and, therefore, compact. If  $Z$  is an arbitrary subset of  $X$ , the closure  $\bar{Z}$  is a proper metric subspace. There is an inclusion  $\bar{Z} \subset Z[\epsilon]$  for any  $\epsilon > 0$ , so there is an  $\epsilon$ -bounded retraction of  $\bar{Z}$  onto  $Z$ . In addition to the obvious equivalence of categories  $\mathbf{B}(X, \mathbf{E})_{<\bar{Z}} = \mathbf{B}(X, \mathbf{E})_{<Z}$ , the retraction also induces an isomorphism  $\mathbf{B}(\bar{Z}, \mathbf{E}) \cong \mathbf{B}(Z, \mathbf{E})$ .

**Corollary 4.16** (Localization). *Suppose  $X$  is a proper metric space and  $Z$  is a subset with the induced metric. There is a weak homotopy equivalence*

$$K(\overline{Z}, \mathbf{E}) \simeq K(Z, \mathbf{E}).$$

If  $\mathbf{E}$  is idempotent complete, there is a homotopy fibration

$$K(Z, \mathbf{E}) \longrightarrow K(X, \mathbf{E}) \longrightarrow K(\mathbf{B}/\mathbf{Z}).$$

The computational tools from nonconnective bounded  $K$ -theory, the controlled excision theorems [3, 17, 18], can now be adapted to  $\mathbf{B}(X, \mathbf{E})$ . We will obtain a direct analogue, which is one of the main results of this paper.

Let  $\mathbf{E}$  be a Grothendieck subcategory of a cocomplete abelian category  $\mathbf{A}$  and let  $X$  be a proper metric space. Suppose  $X_1$  and  $X_2$  are subspaces in a proper metric space  $X$ , and  $X = X_1 \cup X_2$ .

We use the notation  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$ ,  $\mathbf{B}_i = \mathbf{B}(X, \mathbf{E})_{<X_i}$  for  $i = 1$  or  $2$ , and  $\mathbf{B}_{12}$  for the intersection  $\mathbf{B}_1 \cap \mathbf{B}_2$ .

Now there is a commutative diagram

$$\begin{array}{ccccc} K(\mathbf{B}_{12}) & \longrightarrow & K(\mathbf{B}_1) & \longrightarrow & K(\mathbf{B}_1/\mathbf{B}_{12}) \\ \downarrow & & \downarrow & & \downarrow^{K(I)} \\ K(\mathbf{B}_2) & \longrightarrow & K(\mathbf{B}) & \longrightarrow & K(\mathbf{B}/\mathbf{B}_2) \end{array} \quad (\dagger)$$

where the rows are homotopy fibrations from Theorem 3.13 and  $I: \mathbf{B}_1/\mathbf{B}_{12} \rightarrow \mathbf{B}/\mathbf{B}_2$  is the exact functor induced from the exact inclusion  $I: \mathbf{B}_1 \rightarrow \mathbf{B}$ . We observe that  $I$  is not necessarily full and, therefore, not an isomorphism of categories as in similar applications in [3] and [23]. Nevertheless, we claim that  $K(I)$  is a weak equivalence.

**Lemma 4.17.** *Let  $Z$  be a subset of  $X$ , so  $\mathbf{Z} = \mathbf{B}(X, \mathbf{E})_{<Z}$  is a Grothendieck subcategory of  $\mathbf{B}$ . If  $f^*$  is a degreewise admissible monomorphism with cokernels in  $\mathbf{Z}$  then  $f^*$  is a weak equivalence in  $\mathbf{v}(\mathbf{B}/\mathbf{Z})'$ .*

*Proof.* The mapping cone  $Cf^*$  of  $f^*$  is homotopy equivalent to the cokernel of  $f^*$ , which is an acyclic complex in  $\mathbf{B}/\mathbf{Z}$ .  $\square$

**Lemma 4.18.** *The map*

$$K(I): K(\mathbf{B}_1/\mathbf{B}_{12}) \longrightarrow K(\mathbf{B}/\mathbf{B}_2)$$

*is a weak equivalence.*

*Proof.* We will apply the Approximation Theorem to  $I$ . The first condition is clear. To check the second condition, consider

$$F^*: 0 \longrightarrow F^1 \xrightarrow{\phi_1} F^2 \xrightarrow{\phi_2} \dots \xrightarrow{\phi_{n-1}} F^n \longrightarrow 0$$

in  $\mathbf{B}_1$  and a chain map  $g: F^* \rightarrow G^*$  to some complex

$$G^*: 0 \longrightarrow G^1 \xrightarrow{\psi_1} G^2 \xrightarrow{\psi_2} \dots \xrightarrow{\psi_{n-1}} G^n \longrightarrow 0$$

in  $\mathbf{B}$ . Without loss of generality, suppose that each  $G^i$  is  $D$ -lean, suppose that  $F^i = F^i(X_1[K])$  for all  $i$ , and suppose that  $b$  serves as a bound for all  $\phi_i, \psi_i$ , and  $g_i$ . We define

$$F'^i = G^i(X_1[K + D + 3ib])$$

and define  $\xi_i: F'^i \rightarrow F'^{i+1}$  to be the restrictions of  $\psi_i$  to  $F'^i$ . This gives a chain subcomplex  $(F'^i, \xi_i)$  of  $(G^i, \psi_i)$  in  $\mathbf{B}_1$  with the inclusion  $s: F'^i \rightarrow G^i$ . Notice that the choices give the induced chain map  $\bar{g}: F' \rightarrow F'$  in  $\mathbf{B}_1$  so that  $g = s \circ I(\bar{g})$ .

We will argue that  $C' = \text{coker}(s)$  is in  $\mathbf{B}_2$ . Given that,  $s$  is a weak equivalence in  $\mathbf{v}(\mathbf{B}/\mathbf{B}_2)'$  by Lemma 4.17.

For each  $x \in X_1$  and each  $i$ , we have  $G^i(B_D(x)) \subset F'^i$ , so  $C^i(B_D(x)) = 0$ . By Proposition 2.18, part (3b),  $C^i$  is  $D$ -lean, therefore

$$C^i(X) = \sum_{x \in X} C^i(B_D(x)) = \sum_{x \in X \setminus X_1} C^i(B_D(x)) \subset C^i((X \setminus X_1)[D]) \subset C^i(X_2).$$

So the complex  $C'$  is indeed in  $\mathbf{B}_2$ . □

Let  $\mathbb{Z}, \mathbb{Z}^{\geq 0}$ , and  $\mathbb{Z}^{\leq 0}$  denote the metric spaces of integers, nonnegative integers, and nonpositive integers with the restriction of the usual metric on the real line  $\mathbb{R}$ . Let  $\mathbf{E}$  be an idempotent complete Grothendieck category of an abelian category  $\mathbf{A}$ . Then for any proper metric space  $X$ , we have the following instance of commutative diagram (†)

$$\begin{array}{ccccc} K(X, \mathbf{E}) & \longrightarrow & K(X \times \mathbb{Z}^{\geq 0}, \mathbf{E}) & \longrightarrow & K(\mathbf{B}_1/\mathbf{B}_{12}) \\ \downarrow & & \downarrow & & \downarrow^{K(I)} \\ K(X \times \mathbb{Z}^{\leq 0}, \mathbf{E}) & \longrightarrow & K(X \times \mathbb{Z}, \mathbf{E}) & \longrightarrow & K(\mathbf{B}/\mathbf{B}_2) \end{array}$$

**Lemma 4.19.** *The spectra  $K(X \times \mathbb{Z}^{\geq 0}, \mathbf{E})$  and  $K(X \times \mathbb{Z}^{\leq 0}, \mathbf{E})$  are contractible.*

*Proof.* This follows from the fact that these controlled categories are flasque, that is, the usual shift functor  $T$  in the positive (respectively negative) direction along  $\mathbb{Z}^{\geq 0}$  (respectively  $\mathbb{Z}^{\leq 0}$ ) interpreted in the obvious way is an exact endofunctor, and there is a natural equivalence  $1 \oplus \pm T \cong \pm T$ . Contractibility follows from the additivity theorem, cf. Pedersen–Weibel [17]. □

In view of Lemma 4.18, we obtain a map

$$K(X, \mathbf{E}) \longrightarrow \Omega K(X \times \mathbb{Z}, \mathbf{E})$$

which induces isomorphisms of  $K$ -groups in positive dimensions. Iterations of this construction give weak equivalences

$$\Omega^k K(X \times \mathbb{Z}^k, \mathbf{E}) \longrightarrow \Omega^{k+1} K(X \times \mathbb{Z}^{k+1}, \mathbf{E})$$

for  $k \geq 2$ .

**Definition 4.20** (Nonconnective controlled  $K$ -theory). The *nonconnective controlled  $K$ -theory* of  $\mathbf{E}$ , relative to the embedding  $\epsilon: \mathbf{E} \rightarrow \mathbf{A}$ , over a proper metric

space  $X$  is the spectrum

$$K_\epsilon^{-\infty}(X, \mathbf{E}) \stackrel{\text{def}}{=} \underset{k}{\text{hocolim}} \Omega^k K(X \times \mathbb{Z}^k, \mathbf{E}).$$

Since  $\mathbf{B}(X, \mathbf{E})$  can be identified with  $\mathbf{E}$  for a bounded metric space  $X$ , this definition gives the *nonconnective  $K$ -theory* of  $\mathbf{E}$

$$K_\epsilon^{-\infty}(\mathbf{E}) \stackrel{\text{def}}{=} \underset{k>0}{\text{hocolim}} \Omega^k K(\mathbb{Z}^k, \mathbf{E}).$$

As  $K_\epsilon^{-\infty}(\mathbf{E})$  is an  $\Omega$ -spectrum in positive dimensions, the positive homotopy groups of  $K^{-\infty}(\mathbf{E})$  coincide with those of  $K(\mathbf{E})$ , as desired. The class group  $K_{\epsilon,0}(\mathbf{E})$  is the class group of the idempotent completion  $K_0(\widehat{\mathbf{E}})$ .

The first known delooping of the  $K$ -theory of a general exact category with these properties is due to M. Schlichting [21], however the construction here is different and is required in the excision theorem ahead.

**Example 4.21.** If  $\mathbf{E}$  is an arbitrary small exact category, there is the full Gabriel–Quillen embedding of  $\mathbf{E}$  in the cocomplete abelian category  $\mathbf{A}$  of left exact functors  $\mathbf{E}^{\text{op}} \rightarrow \mathbf{Mod}(\mathbb{Z})$  with the standard exact structure. The embedding is always closed under extensions in  $\mathbf{A}$ . It is not necessarily a Grothendieck subcategory, as when  $\mathbf{E}$  is not balanced. But if  $\mathbf{E}$  is abelian, for example, this gives a canonical delooping of  $K(\mathbf{E})$ .

**Example 4.22.** One may start with the cocomplete abelian category  $\mathbf{Mod}(R)$  of modules over a ring  $R$  with the standard abelian exact structure where the admissible monomorphisms and epimorphisms are respectively all monics and epis. If  $R$  is a Noetherian ring, the subcategory  $\mathbf{E}$  may be taken to be the noncocomplete abelian category of finitely generated  $R$ -modules  $\mathbf{Modf}(R)$ . Now  $K^{-\infty}(\mathbf{E})$  gives the algebraic  $G$ -theory of  $R$  which we denote by  $G^{-\infty}(R)$ . We also use notation  $\mathbf{B}(X, R)$  for  $\mathbf{B}(X, \mathbf{E})$ .

**Definition 4.23** (Nonconnective controlled  $G$ -theory). The *nonconnective controlled  $G$ -theory* of  $X$ -filtered modules over  $R$  is defined as

$$G^{-\infty}(X, R) = K^{-\infty} \mathbf{B}(X, \mathbf{E}).$$

**Example 4.24.** The negative  $K$ -theory of a regular ring  $R$  is trivial in the sense that  $K_i(\mathbf{Modf}(R)) = 0$  for all  $i < 0$ . This is well-known in Bass’ theory [2]. A proof that the negative  $K$ -theory is trivial for general abelian categories can be given using the same strategy as in chapter 9 of [21].

Of course, when the exact category  $\mathbf{E}$  is itself cocomplete, its  $K$ -theory is contractible because of the Eilenberg swindle type argument.

We finally prove the main result of this section.

**Theorem 4.25** (Nonconnective excision). *Let  $\mathbf{E}$  be a Grothendieck subcategory of a cocomplete abelian category  $\mathbf{A}$  and let  $X$  be a proper metric space. Suppose  $X_1$  and  $X_2$  are subsets of  $X$ , and  $X = X_1 \cup X_2$ . Using the notation  $\mathbf{B} = \mathbf{B}(X, \mathbf{E})$ ,*

$\mathbf{B}_i = \mathbf{B}(X, \mathbf{E})_{<X_i}$  for  $i = 1$  or  $2$ , and  $\mathbf{B}_{12}$  for the intersection  $\mathbf{B}_1 \cap \mathbf{B}_2$ , there is a homotopy pushout diagram of spectra

$$\begin{array}{ccc} K^{-\infty}(\mathbf{B}_{12}) & \longrightarrow & K^{-\infty}(\mathbf{B}_1) \\ \downarrow & & \downarrow \\ K^{-\infty}(\mathbf{B}_2) & \longrightarrow & K^{-\infty}(\mathbf{B}) \end{array}$$

where the maps of spectra are induced from the exact inclusions.

*Proof.* Let us write  $S^k \mathbf{B}$  for  $\mathbf{B}(X \times \mathbb{Z}^k, \mathbf{E})$  whenever  $\mathbf{B}$  is the boundedly controlled category for a general metric space  $X$ . If  $\mathbf{Z}$  is a subset of  $X$ , consider the fibration

$$K(\mathbf{Z}, \mathbf{E}) \longrightarrow K(X, \mathbf{E}) \longrightarrow K(\mathbf{B}/\mathbf{Z})$$

from Corollary 4.16. Notice that there is a map

$$K(\mathbf{B}/\mathbf{Z}) \longrightarrow \Omega K(S\mathbf{B}/S\mathbf{Z})$$

which is a weak equivalence in positive dimensions by the Five Lemma. If one defines

$$K^{-\infty}(\mathbf{B}/\mathbf{Z}) = \operatorname{hocolim}_k \Omega^k K(S^k \mathbf{B}/S^k \mathbf{Z}),$$

there is an induced fibration

$$K^{-\infty}(\mathbf{Z}, \mathbf{E}) \longrightarrow K^{-\infty}(X, \mathbf{E}) \longrightarrow K^{-\infty}(\mathbf{B}/\mathbf{Z})$$

The theorem follows from the commutative diagram

$$\begin{array}{ccccc} K^{-\infty}(\mathbf{B}_{12}) & \longrightarrow & K^{-\infty}(\mathbf{B}_1) & \longrightarrow & K^{-\infty}(\mathbf{B}_1/\mathbf{B}_{12}) \\ \downarrow & & \downarrow & & \downarrow K^{-\infty}(I) \\ K^{-\infty}(\mathbf{B}_2) & \longrightarrow & K^{-\infty}(\mathbf{B}) & \longrightarrow & K^{-\infty}(\mathbf{B}/\mathbf{B}_2) \end{array}$$

and the fact that now

$$K^{-\infty}(I): K^{-\infty}(\mathbf{B}_1/\mathbf{B}_{12}) \longrightarrow K^{-\infty}(\mathbf{B}/\mathbf{B}_2)$$

is a weak equivalence. □

**Remark 4.26.** As in other versions of controlled  $K$ -theory, there is no excision theorem similar to Theorem 4.25 which employs the connective  $K$ -theory.

## 5. Equivariant theory and the Novikov conjecture

First we establish functoriality properties of the bounded  $G$ -theory.

**Definition 5.1.** A map  $f: X \rightarrow Y$  of metric spaces  $f$  is *quasi-bi-Lipschitz* if there is a real positive function  $l$  such that

$$\begin{aligned} \operatorname{dist}(x_1, x_2) \leq r &\implies \operatorname{dist}(f(x_1), f(x_2)) \leq l(r), \\ \operatorname{dist}(f(x_1), f(x_2)) \leq r &\implies \operatorname{dist}(x_1, x_2) \leq l(r) \end{aligned}$$

for all  $x_1, x_2 \in X$ .

Any bounded function  $f: X \rightarrow X$ , with the property that  $\text{dist}(x, f(x)) \leq D$  for all  $x \in X$  and a fixed number  $D \geq 0$ , is quasi-bi-Lipschitz with  $l(r) = r + 2D$ . An isometry  $g: X \rightarrow Y$  is quasi-bi-Lipschitz with  $l(r) = r$ . If only the first of the two conditions is satisfied, the map  $f$  is called *bornological*.

We will say that  $f: X \rightarrow Y$  is a *quasi-bi-Lipschitz equivalence* if there is a map  $g: Y \rightarrow X$  so that both  $f$  and  $g$  are quasi-bi-Lipschitz and the compositions  $f \circ g$  and  $g \circ f$  are bounded maps.

**Proposition 5.2.** *Consider the category of proper metric spaces  $X$  and quasi-bi-Lipschitz maps and the category of Noetherian rings  $R$ . Then  $\mathbf{B}(X, R)$  is a bifunctor covariant in the first variable and contravariant in the second variable to exact categories and exact functors. Composing with the covariant functor  $K^{-\infty}$  from Example 4.22 gives the spectrum-valued bifunctor  $G^{-\infty}(X, R)$ .*

*Proof.* If  $f: X \rightarrow Y$  is a quasi-bi-Lipschitz map, the functor

$$f_*: \mathbf{B}(X, R) \longrightarrow \mathbf{B}(Y, R)$$

is given on objects by

$$f_*F(S) = F(f^{-1}(S)).$$

Using the containment

$$f^{-1}(S)[D] \subset f^{-1}(S[l(D)]),$$

one sees that if  $\phi \in \mathbf{B}(X, R)$  is a boundedly bicontrolled morphism with  $\text{fil}(\phi) \leq D$  then  $f_*\phi$  is boundedly bicontrolled with  $\text{fil}(f_*\phi) \leq l(D)$ .  $\square$

A subset  $W$  of a metric space  $X$  is *boundedly dense* or *commensurable* if  $W[D] = X$  for some  $D \geq 0$ .

**Proposition 5.3.** *For a commensurable metric subspace  $W$  of  $X$ , there is a natural exact equivalence of categories*

$$i: \mathbf{B}(W, R) \longrightarrow \mathbf{B}(X, R)$$

and the induced weak homotopy equivalence

$$i_*: G^{-\infty}(W, R) \longrightarrow G^{-\infty}(X, R).$$

*Proof.* Any surjective quasi-bi-Lipschitz equivalence  $f: X \rightarrow Y$  induces two functors on filtered modules. One is contravariant

$$f^*: \mathbf{B}(Y, R) \longrightarrow \mathbf{B}(X, R)$$

given by  $f^*F(S) = F(f(S))$ ; the other is covariant

$$f_*: \mathbf{B}(X, R) \longrightarrow \mathbf{B}(Y, R)$$

given by  $f_*F(S) = F(f^{-1}(S))$ , so that  $f^*f_* = \text{id}$ .

Even when  $f$  is not surjective, there is the endofunctor  $\omega = f^{-1}f$  of  $\mathcal{P}(X)$  which induces an endofunctor  $\omega_*$  of  $\mathbf{B}(X, R)$ . If  $f: X \rightarrow X$  is bounded, that is  $d(x, f(x)) \leq D$  for some  $D \geq 0$  and all  $x \in X$ , there is always an isomorphism

$\omega_*(F) \cong F$  induced by the identity on  $F(X)$ . This shows that  $f_*F \cong F$  for all  $F \in \mathbf{B}(X, R)$ .

If  $W \subset X$  is commensurable, there is a bounded surjection  $f: X \rightarrow W$ , so  $f$  induces a natural transformation  $\eta: \text{id} \rightarrow f_*$  where all  $\eta(F)$  are isomorphisms.  $\square$

**Corollary 5.4.** *If  $X$  is a bounded metric space then the natural equivalence*

$$\mathbf{B}(X, R) \cong \mathbf{B}(\text{point}, R) = \mathbf{Modf}(R)$$

*induces a weak equivalence  $G^{-\infty}(X, R) \simeq G^{-\infty}(R)$  on the level of  $K$ -theory.*

Given a group  $\Gamma$  with a left action on  $X$  by quasi-bi-Lipschitz equivalences, there is a natural action of  $\Gamma$  on  $\mathbf{B}(X, R)$  induced from the action on the power set  $\mathcal{P}(X)$ . However, this is not the correct choice for a useful equivariant controlled theory for essentially the same reasons as in the discussion of geometric modules in [4, ch. VI].

**Definition 5.5.** Let  $\mathbf{E}\Gamma$  be the category with the object set  $\Gamma$  and the unique morphism  $\mu: \gamma_1 \rightarrow \gamma_2$  for any pair  $\gamma_1, \gamma_2 \in \Gamma$ . There is a left  $\Gamma$ -action on  $\mathbf{E}\Gamma$  induced by the left multiplication in  $\Gamma$ .

If  $\mathcal{C}$  is a small category with left  $\Gamma$ -action, then the category of functors  $\mathcal{C}_\Gamma = \text{Fun}(\mathbf{E}\Gamma, \mathcal{C})$  is another category with the  $\Gamma$ -action given on objects by

$$\gamma(F)(\gamma') = \gamma F(\gamma^{-1}\gamma')$$

and

$$\gamma(F)(\mu) = \gamma F(\gamma^{-1}\mu).$$

It is always nonequivariantly equivalent to  $\mathcal{C}$ . The fixed subcategory  $\text{Fun}(\mathbf{E}\Gamma, \mathcal{C})^\Gamma \subset \mathcal{C}_\Gamma$  consists of equivariant functors and equivariant natural transformations.

Explicitly, when  $\mathcal{C} = \mathbf{B}(X, R)$  with the  $\Gamma$ -action described above, the objects of  $\mathbf{B}_\Gamma(X, R)^\Gamma$  are the pairs  $(F, \psi)$  where  $F \in \mathbf{B}(X, R)$  and  $\psi$  is a function on  $\Gamma$  with  $\psi(\gamma) \in \text{Hom}(F, \gamma F)$  such that

$$\psi(1) = 1 \quad \text{and} \quad \psi(\gamma_1\gamma_2) = \gamma_1\psi(\gamma_2)\psi(\gamma_1).$$

These conditions imply that  $\psi(\gamma)$  is always an isomorphism as in [24]. The set of morphisms  $(F, \psi) \rightarrow (F', \psi')$  consists of the morphisms  $\phi: F \rightarrow F'$  in  $\mathbf{B}(X, R)$  such that the squares

$$\begin{array}{ccc} F & \xrightarrow{\psi(\gamma)} & \gamma F \\ \phi \downarrow & & \downarrow \gamma\phi \\ F' & \xrightarrow{\psi'(\gamma)} & \gamma F' \end{array}$$

commute for all  $\gamma \in \Gamma$ . A slightly more refined theory is obtained by replacing  $\mathbf{B}_\Gamma(X, R)$  with the full subcategory  $\mathbf{B}_{\Gamma,0}(X, R)$  of functors sending all morphisms of  $\mathbf{E}\Gamma$  to filtration 0 maps. So  $\mathbf{B}_{\Gamma,0}(X, R)^\Gamma$  consists of  $(F, \psi)$  with  $\text{fil } \psi(\gamma) = 0$  for all  $\gamma \in \Gamma$ .

**Proposition 5.6.** *The fixed point category  $\mathbf{B}_{\Gamma,0}(X, R)^\Gamma$  is exact.*



*Proof.* The exact structure is inherited from  $\mathbf{B}(X, R)$  in the sense that a morphism  $\phi: (F, \psi) \rightarrow (F', \psi')$  is an admissible monomorphism or epimorphism if the map  $\phi: F \rightarrow F'$  is in  $\mathbf{mB}(X, R)$  or  $\mathbf{eB}(X, R)$  respectively. The fact that this is an exact structure follows from the proof of Theorem 2.13 by observing that all constructions in that proof produce equivariant objects and morphisms.  $\square$

**Remark 5.7.** One exact structure in the category of finitely generated  $R[\Gamma]$ -modules  $\mathbf{Modf}(R[\Gamma])$  for a Noetherian ring  $R$  consists of short exact sequences of  $R[\Gamma]$ -modules with finitely generated kernels and quotients. When  $R[\Gamma]$  is Noetherian, so that  $\mathbf{Modf}(R[\Gamma])$  is an abelian category, this coincides with the conventional choice of all injections for admissible monomorphisms and all surjections for admissible epimorphisms.

However, there is a reasonable conjecture of P. Hall that only polycyclic-by-finite groups have Noetherian group rings, cf. Question 32 in Farkas [8].

We are going to define a new exact structure on a subcategory  $\mathbf{B}(R[\Gamma])$  of  $\mathbf{Modf}(R[\Gamma])$  and relate it to the exact category  $\mathbf{B}_{\Gamma,0}(X, R)^\Gamma$ .

**Definition 5.8.** The *word metric* on a finitely generated group  $\Gamma$  with a fixed generating set  $\Omega$  is the path metric induced from the condition that  $\text{dist}(\gamma, \omega\gamma) = 1$  whenever  $\gamma \in \Gamma$  and  $\omega \in \Omega$ .

This metric clearly makes  $\Gamma$  a proper metric space. We will use the notation  $B_d(\gamma)$  for the metric ball of radius  $d$  centered at  $\gamma$ .

**Definition 5.9.** Given a finitely generated  $R[\Gamma]$ -module  $F$ , fix a finite generating set  $\Sigma$  for  $F$  and define a  $\Gamma$ -filtration of the  $R$ -module  $F$  by

$$F(S) = \langle S\Sigma \rangle_R,$$

the  $R$ -submodule of  $F$  generated by  $S\Sigma$ . Let  $s(F, \Sigma)$  stand for the resulting  $\Gamma$ -filtered  $R$ -module.

**Lemma 5.10.** *Every  $R[\Gamma]$ -homomorphism*

$$\phi: F \longrightarrow G$$

*between finitely generated modules is boundedly controlled as an  $R$ -homomorphism between the filtered  $R$ -modules*

$$\phi: s(F, \Sigma_F) \longrightarrow s(G, \Sigma_G)$$

*with respect to any choice of the finite generating sets  $\Sigma_F$  and  $\Sigma_G$ .*

*Proof.* Consider  $x \in F(S) = \langle S\Sigma_F \rangle_R$ , then

$$x = \sum_{s, \sigma} r_{s, \sigma} s\sigma$$

for a finite collection of pairs  $s \in S$ ,  $\sigma \in \Sigma_F$ . Since  $F(\{e\}) = \langle \Sigma_F \rangle_R$  for the identity element  $e$  in  $\Gamma$ , there is a number  $d \geq 0$  such that

$$\phi F(\{e\}) \subset G(B_d(e)).$$

Therefore,

$$\phi(x) = \sum_{s,\sigma} r_{s,\sigma} \phi(s\sigma) = \sum_{s,\sigma} r_{s,\sigma} s\phi(\sigma) \subset \sum_{s \in S} sG(B_d(e)) \subset G(S[d])$$

because the left translation action by any element  $s \in S$  on  $B_d(e)$  in  $\Gamma$  is an isometry onto  $B_d(s)$ .  $\square$

**Corollary 5.11.** *Given a finitely generated  $R[\Gamma]$ -module  $F$  and two choices of finite generating sets  $\Sigma_1$  and  $\Sigma_2$ , the filtered  $R$ -modules  $s(F, \Sigma_1)$  and  $s(F, \Sigma_2)$  are isomorphic as  $\Gamma$ -filtered  $R$ -modules.*

*Proof.* The identity map and its inverse are boundedly controlled as maps between  $s(F, \Sigma_1)$  and  $s(F, \Sigma_2)$  by Lemma 5.10.  $\square$

**Corollary 5.12.** *Finitely generated  $R[\Gamma]$ -modules  $F$  with filtrations  $s(F, \Sigma)$ , with respect to arbitrary finite generating sets  $\Sigma$ , are locally finitely generated and lean. If  $s(F, \Sigma)$  is insular and  $\Sigma'$  is another finite generating set then  $s(F, \Sigma')$  is also insular.*

*Proof.* For a finite subset  $S$ , the submodule  $F(S)$  is generated by the finite set  $S\Sigma$ . Since  $F(x) = \langle x\Sigma \rangle_R$ ,

$$F(S) = \sum_{x \in S} \langle x\Sigma \rangle_R = \langle S\Sigma \rangle_R,$$

so  $s(F, \Sigma)$  is 0-lean. The second claim follows from Corollary 5.11.  $\square$

**Definition 5.13.** Let  $\mathbf{B}(R[\Gamma])$  be the full subcategory of  $\mathbf{Modf}(R[\Gamma])$  on  $R$ -modules  $F$  which are *strict* as filtered modules  $s(F, \Sigma)$  with respect to some choice of the finite generating set  $\Sigma$ .

Let  $\mathbf{B}_\times(R[\Gamma])$  be the category of objects which are pairs  $(F, \Sigma)$  with  $F$  in  $\mathbf{B}(R[\Gamma])$  and  $\Sigma$  a finite generating set for  $F$ . The morphisms are the  $R[\Gamma]$ -homomorphisms between the modules.

Lemma 5.10 shows that the map

$$s: \mathbf{B}_\times(R[\Gamma]) \longrightarrow \mathbf{B}(\Gamma, R)$$

described in Definition 5.9 is a functor. In fact, it is a functor

$$s_\Gamma: \mathbf{B}_\times(R[\Gamma]) \longrightarrow \mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$$

by interpreting  $s_\Gamma(F, \Sigma) = (F, \psi)$  with  $F = s(F, \Sigma)$  and  $\psi(\gamma): F \rightarrow \gamma F$  induced from  $s\sigma \mapsto \gamma^{-1}s\sigma$ . Since

$$(\gamma F)(S) = \langle \gamma^{-1}(S)\Sigma \rangle_R,$$

it follows that the object  $s_\Gamma(F, \Sigma)$  lands in  $\mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$ , and  $s$  sends all  $R[\Gamma]$ -homomorphisms to  $\Gamma$ -equivariant homomorphisms.

**Lemma 5.14.** *Let  $F \in \mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$  and let  $\Sigma$  be a finite generating set for the  $R[\Gamma]$ -module  $F$ . Then the identity homomorphism*

$$\text{id}: s_\Gamma(F, \Sigma) \longrightarrow F$$

*is boundedly controlled with respect to the induced and the original filtrations of  $F$ .*

*Proof.* If  $\Sigma$  is contained in  $F(B_d(e))$ , where  $e$  is the identity element in  $\Gamma$ , then

$$\gamma\Sigma \subset F(B_d(\gamma))$$

for all  $\gamma \in \Gamma$ , and

$$s(F, \Sigma)(S) = \langle S\Sigma \rangle_R \subset F(S[d])$$

for all subsets  $S \subset \Gamma$ . □

Both functors  $s$  and  $s_\Gamma$  are additive with respect to the additive structure in  $\mathbf{B}_\times(R[\Gamma])$  where the biproduct is given by

$$(F, \Sigma_F) \oplus (G, \Sigma_G) = (F \oplus G, \Sigma_F \times \Sigma_G).$$

Let the *admissible monomorphisms*  $\phi: (F, \Sigma_F) \rightarrow (G, \Sigma_G)$  in  $\mathbf{B}_\times(R[\Gamma])$  be the injections  $\phi: F \rightarrow G$  of  $R[\Gamma]$ -modules  $\phi$  such that

$$s(\phi): s(F, \Sigma_F) \longrightarrow s(G, \Sigma_G)$$

is a boundedly bicontrolled homomorphism of  $\Gamma$ -filtered  $R$ -modules. This is equivalent to requiring that  $s(\phi)$  be an admissible monomorphism in  $\mathbf{B}(\Gamma, R)$ . Let the *admissible epimorphisms* be the morphisms  $\phi$  such that  $s(\phi)$  are admissible epimorphisms in  $\mathbf{B}(\Gamma, R)$ .

**Proposition 5.15.** *The choice of admissible morphisms defines an exact structure on  $\mathbf{B}_\times(R[\Gamma])$  such that both  $s$  and  $s_\Gamma$  are exact functors.*

*Proof.* When checking Quillen’s axioms in  $\mathbf{B}_\times(R[\Gamma])$ , all required universal constructions are performed in  $\mathbf{B}(R[\Gamma])$  with the canonical choices of finite generating sets. In particular,  $\Sigma$  in the pushout  $B \cup_A C$  is the image of the product set  $\Sigma_B \times \Sigma_C$  in  $B \times C$ .

The fact that all admissible morphisms are boundedly bicontrolled in  $\mathbf{B}(\Gamma, R)$  or  $\mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$  follows from the proof of Theorem 2.13. Exactness of  $s$  and  $s_\Gamma$  is immediate. □

**Definition 5.16.** We give  $\mathbf{B}(R[\Gamma])$  the minimal exact structure that makes the forgetful functor

$$p: \mathbf{B}_\times(R[\Gamma]) \longrightarrow \mathbf{B}(R[\Gamma])$$

sending  $(F, \Sigma)$  to  $F$  an exact functor.

In other words, an  $R[\Gamma]$ -homomorphism  $\phi: F \rightarrow G$  is an *admissible monomorphism* or *epimorphism* if for some choice of finite generating sets,

$$\phi: (F, \Sigma_F) \longrightarrow (G, \Sigma_G)$$

is respectively an admissible monomorphism or epimorphism in  $\mathbf{B}_\times(R[\Gamma])$ .

Corollary 5.11 shows that if  $\phi: F \rightarrow G$  is boundedly bicontrolled as a map of filtered  $R$ -modules  $s(F, \Sigma_F) \rightarrow s(G, \Sigma_G)$  then it is boundedly bicontrolled with respect to any other choice of finite generating sets, so this structure is well-defined.

*Notation 5.17.* The new exact category will be referred to as  $\mathbf{B}(R[\Gamma])$ , with the corresponding  $K$ -theory spectrum  $G^{-\infty}(R[\Gamma])$ .

Let  $(F, \psi)$  be an object of  $\mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$ . One may think of  $\gamma F \in \mathbf{B}(\Gamma, R)$ ,  $\gamma \in \Gamma$ , as the module  $F$  with a new  $\Gamma$ -filtration. Now the  $R$ -module structure  $\eta: R \rightarrow \text{End } F$  induces an  $R[\Gamma]$ -module structure

$$\eta(\psi): R[\Gamma] \longrightarrow \text{End } F$$

given by

$$\sum_{\gamma} r_{\gamma} \gamma \mapsto \sum_{\gamma} \eta(r_{\gamma}) \psi(\gamma)$$

since the sums are taken over a finite subset of  $\Gamma$ . It is easy to see that this defines a map

$$\pi: \mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma \longrightarrow \mathbf{B}(R[\Gamma])$$

by sending  $(F, \psi)$  to  $F$ , so that  $p = \pi s_{\Gamma}$ . Notice however that in general  $\pi$  is not exact as the identity homomorphism in Lemma 5.14 is not necessarily an isomorphism.

In the rest of the paper we assume  $\Gamma$  is torsion-free. The exact functors  $p$  and  $s_{\Gamma}$  induce maps in nonconnective  $K$ -theory

$$G^{-\infty}(R[\Gamma]) \xleftarrow{p} K^{-\infty} \mathbf{B}_{\times}(R[\Gamma]) \xrightarrow{s_{\Gamma}} G_{\Gamma,0}^{-\infty}(\Gamma, R)^\Gamma.$$

We claim that both of these maps are weak equivalences.

**Proposition 5.18.** *The functor  $f$  induces a weak equivalence*

$$K^{-\infty} \mathbf{B}_{\times}(R[\Gamma]) \simeq G^{-\infty}(R[\Gamma]).$$

*Proof.* This follows from the Approximation theorem applied to  $p'$ . The two categories are saturated, and  $\mathbf{B}_{\times}(R[\Gamma])'$  has a cylinder functor satisfying the cylinder axiom which is constructed as the canonical homotopy pushout with the canonical product basis, see section 1 of [25].

The first condition of the Approximation theorem is clear. For the second condition, let  $(F_1, \Sigma_1)$  be a complex in  $\mathbf{B}_{\times}(R[\Gamma])$  and let  $g: F_1 \rightarrow F_2$  be a chain map in  $\mathbf{B}(R[\Gamma])'$ . For each  $R[\Gamma]$ -module  $F_2^i$  choose any finite generating set  $\Sigma_2^i$ , then using  $f = g$  and  $g' = \text{id}$ , we have  $g = g'p(f)$ .  $\square$

**Proposition 5.19.** *The functor  $s_{\Gamma}$  induces a weak homotopy equivalence*

$$K^{-\infty} \mathbf{B}_{\times}(R[\Gamma]) \simeq G_{\Gamma,0}^{-\infty}(\Gamma, R)^\Gamma.$$

*Proof.* The target category is again saturated and has a cylinder functor satisfying the cylinder axiom. To check condition 2 of the approximation theorem, let

$$E^{\cdot}: 0 \longrightarrow (E^1, \Sigma_1) \longrightarrow (E^2, \Sigma_2) \longrightarrow \dots \longrightarrow (E^n, \Sigma_n) \longrightarrow 0$$

be a complex in  $\mathbf{B}_{\times}(R[\Gamma])$ ,

$$(F^{\cdot}, \psi^{\cdot}): 0 \longrightarrow (F^1, \psi_1) \xrightarrow{f_1} (F^2, \psi_2) \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} (F^n, \psi_n) \longrightarrow 0$$

be a complex in  $\mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$ , and

$$g: s_{\Gamma}^{\prime}(E^{\cdot}) \longrightarrow (F^{\cdot}, \psi^{\cdot})$$

be a chain map. Each  $F^i$  can be thought of as an  $R[\Gamma]$ -module, and there is a chain complex

$$F^* : 0 \longrightarrow F^1 \xrightarrow{f_1} F^2 \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} F^n \longrightarrow 0$$

in  $\mathbf{Mod}(R[\Gamma])$ . Choose arbitrary finite generating sets  $\Omega_i$  in  $F^i$  for all  $1 \leq i \leq n$ . Now

$$\pi_\Omega F^* : 0 \longrightarrow (F^1, \Omega_1) \xrightarrow{f_1} (F^2, \Omega_2) \xrightarrow{f_2} \dots \xrightarrow{f_{n-1}} (F^n, \Omega_n) \longrightarrow 0$$

is a chain complex in  $\mathbf{B}_\times(R[\Gamma])$ .

The chain map  $g$  is degree-wise an  $R[\Gamma]$ -homomorphism, so there is a corresponding chain map

$$f : E^* \longrightarrow \pi_\Omega F^*$$

which coincides with  $g$  on modules. On the other hand, the degree-wise identity gives a chain map

$$g' : s'_\Gamma(\pi_\Omega F^*) \longrightarrow F^*$$

in  $\mathbf{B}_{\Gamma,0}(\Gamma, R)^\Gamma$  by Lemma 5.14. This  $g'$  is a quasi-isomorphism, as required.  $\square$

**Corollary 5.20.** *Let  $\Gamma$  be a finitely generated torsion-free group and  $R$  be a Noetherian ring. There is a weak equivalence*

$$G_{\Gamma,0}^{-\infty}(\Gamma, R)^\Gamma \simeq G^{-\infty}(R[\Gamma]).$$

**Corollary 5.21.** *Let  $\Gamma$  be a finitely generated torsion-free group acting freely, properly discontinuously and cocompactly on a proper metric space  $X$  and let  $R$  be a Noetherian ring. There is a weak homotopy equivalence*

$$G_{\Gamma,0}^{-\infty}(X, R)^\Gamma \simeq G^{-\infty}(R[\Gamma]).$$

*Proof.* Let  $p : X \rightarrow \text{point}$  be the geometric collapse. For any  $x \in X$  such that the embedding  $i$  of the orbit  $\Gamma x$  with the word metric is commensurable in  $X$ , there is a commutative diagram

$$\begin{array}{ccc} G_{\Gamma,0}^{-\infty}(\Gamma x, R)^\Gamma & \xrightarrow{\pi_*} & G^{-\infty}(R[\Gamma]) \\ \downarrow i_* & & = \downarrow \\ G_{\Gamma,0}^{-\infty}(X, R)^\Gamma & \xrightarrow{\pi_*} & G^{-\infty}(R[\Gamma]) \end{array}$$

The top  $\pi_*$  is a weak equivalence by Corollary 5.20. The vertical map  $i_*$  is a weak equivalence as in Proposition 5.3, so the lower map  $\pi_*$  is a weak equivalence.  $\square$

For a discrete group  $\Gamma$  and a ring  $R$  there is an assembly map

$$A_K : B\Gamma_+ \wedge K^{-\infty}(R) \longrightarrow K^{-\infty}(R[\Gamma]).$$

When the ring  $R$  is regular Noetherian, for example the integers  $\mathbb{Z}$ , the spectra  $G^{-\infty}(R)$  and  $K^{-\infty}(R)$  and, therefore,  $B\Gamma_+ \wedge G^{-\infty}(R)$  and  $B\Gamma_+ \wedge K^{-\infty}(R)$  can be naturally identified.

**Definition 5.22.** Let  $\Gamma$  be a finitely generated group and  $R$  be a regular Noetherian ring. The *assembly map in G-theory*

$$A_G: B\Gamma_+ \wedge G^{-\infty}(R) \longrightarrow G^{-\infty}(R[\Gamma])$$

is the composition of  $A_K$  and the canonical Cartan map

$$C: K^{-\infty}(R[\Gamma]) \longrightarrow G^{-\infty}(R[\Gamma])$$

induced by inclusion of categories.

The *integral Novikov conjecture* in algebraic G-theory is the statement that this is a split injection of spectra.

**Remark 5.23.** Notice that whenever the assembly map  $A_G$  is split injective, the map  $A_K$  is also split injective, so this conjecture is stronger than the  $K$ -theoretic conjecture when the ring  $R$  is regular.

**Remark 5.24.** The standard exact structure on  $\mathbf{Modf}(R[\Gamma])$  has all injective and surjective  $R[\Gamma]$ -homomorphisms with finitely generated cokernels and kernels as admissible morphisms so that the exact sequences are the traditional short exact sequences. Let the corresponding  $K$ -theory spectrum be  $G_m^{-\infty}(R[\Gamma])$ . One might attempt to replace  $G_{\times}^{-\infty}(R[\Gamma])$  with  $G_m^{-\infty}(R[\Gamma])$  as the target of the assembly  $A_G$ . However, W. Lück has pointed out that this map would not be weakly injective even in the simple case when  $R$  is a commutative ring and  $\Gamma$  is the free group on two generators, cf. Remark 2.23 in [15].

This underscores the importance of choosing the coarse version  $G^{-\infty}(R[\Gamma])$  as our approximation of  $K^{-\infty}(R[\Gamma])$ .

The equivariant *assembly map in boundedly controlled G-theory* can be defined as in [4]. For any Noetherian ring  $R$ , this is an equivariant natural transformation

$$\alpha_G: h^{lf}(X, G^{-\infty}(R)) \longrightarrow G_{\Gamma,0}^{-\infty}(X, R)$$

from the equivariant locally finite homology  $h^{lf}(X, G^{-\infty}(R))$  to the equivariant bounded G-theory  $G_{\Gamma,0}^{-\infty}(X, R)$ , see Definition II.14, loc. cit. If  $\Gamma$  is torsion-free and acts freely cocompactly on  $X$ , one also has weak equivalences

$$h^{lf}(X, G^{-\infty}(R))^{\Gamma} \simeq B\Gamma_+ \wedge G^{-\infty}(R).$$

The fixed point spectra and the induced maps fit in the commutative diagram

$$\begin{array}{ccccc} B\Gamma_+ \wedge G^{-\infty}(R) & \xrightarrow{\alpha_K^{\Gamma}} & K_{\Gamma,0}^{-\infty}(X, R)^{\Gamma} & \xrightarrow{\simeq} & K^{-\infty}(R[\Gamma]) \\ \alpha_G^{\Gamma} \downarrow & & \downarrow & & \downarrow C \\ G_{\Gamma,0}^{-\infty}(X, R)^{\Gamma} & \xleftarrow[\simeq]{s_{\Gamma^*}} & K^{-\infty} \mathbf{B}_{\times}(R[\Gamma]) & \xrightarrow{=} & G^{-\infty}(R[\Gamma]) \end{array} \quad (\dagger\dagger)$$

If we restrict our attention to the equivariant objects in  $K_{\Gamma,0}^{-\infty}(X, R)^{\Gamma}$  that have the parametrization function map the generating set  $B$  to a single point then the map

$$i: K_{\Gamma,0}^{-\infty}(X, R)^{\Gamma} \longrightarrow G_{\Gamma,0}^{-\infty}(X, R)^{\Gamma}$$

is well-defined and fits as the diagonal in the left square.

**Remark 5.25.** When  $R$  is a regular Noetherian ring, the assembly map in boundedly controlled  $G$ -theory

$$\alpha_G: h^{lf}(X, G^{-\infty}(R)) \longrightarrow G_{\Gamma,0}^{-\infty}(X, R)$$

can be identified up to homotopy with the composition

$$h^{lf}(X, K^{-\infty}(R)) \xrightarrow{\alpha_K} K_{\Gamma,0}^{-\infty}(X, R) \xrightarrow{i} G_{\Gamma,0}^{-\infty}(X, R).$$

Now there is a homotopy commutative square

$$\begin{array}{ccc} B\Gamma_+ \wedge K^{-\infty}(R) & \xrightarrow{A_G} & G^{-\infty}(R[\Gamma]) \\ \simeq \downarrow & & \downarrow \simeq \\ h^{lf}(X, G^{-\infty}(R))^\Gamma & \xrightarrow{\alpha_G^\Gamma} & G_{\Gamma,0}^{-\infty}(X, R)^\Gamma \end{array}$$

Controlled excision is the main technical tool from controlled  $K$ -theory used to prove integral Novikov conjectures. It is used to see that in specific cases the equivariant assembly map in bounded  $K$ -theory is a homotopy equivalence. In particular, the argument in [6] applies to groups of finite asymptotic dimension which have a finite classifying space.

**Theorem 5.26.** *The fixed point map of spectra*

$$\alpha_G^\Gamma: h^{lf}(X, G^{-\infty}(R))^\Gamma \longrightarrow G_{\Gamma,0}^{-\infty}(X, R)^\Gamma$$

is a split injection for any geometrically finite group  $\Gamma$  of finite asymptotic dimension and a Noetherian ring  $R$ .

*Proof.* The main step in the proofs of the Novikov conjecture in algebraic  $K$ -theory [4, 6] to which we referred above is the application of homotopy fixed points to reduce the study of the map  $A_K$  to the nonequivariant study of the equivariant map  $\alpha_K$ . This is shown to be a weak equivalence by using controlled excision to compute the target. With the excision results from section 3 and the equivariant properties established here, the proofs can be repeated verbatim obtaining splittings of the assembly maps  $\alpha_G$  for the same collection of groups.  $\square$

**Corollary 5.27** (Novikov conjecture in  $G$ -theory). *The  $G$ -theoretic assembly map*

$$A_G: B\Gamma_+ \wedge G^{-\infty}(R) \longrightarrow G^{-\infty}(R[\Gamma])$$

is a split injection for any geometrically finite group  $\Gamma$  of finite asymptotic dimension and a regular Noetherian ring  $R$ .

*Proof.* If  $R$  is regular Noetherian, the two maps  $A_G$  and  $\alpha_G^\Gamma$  can be identified as in Remark 5.25.  $\square$

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